



GIST: Sequencing Treatment and Case Report Evolution



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Abstract

Background: Gastrointestinal Stromal Tumor (GIST) is the most frequent mesenchymal tumor of the gastrointestinal tract; its most common site is the stomach (50-60%), followed by small bowel (20-30%), and large bowel (10%), being less frequently found in the retroperitoneum, esophagus, omentum, and mesentery. Because in many cases this tumor shows a relatively slow growth rate and there is occurrence of clinically silent GISTs in up to 3% of autopsies, its true incidence is unknown. However, population-based studies conducted in various countries found estimated incidence rates varying between 6.6 and 14.5 per million people per year. Early case reports and clinical trials have established the role of the tyrosine-kinase inhibitor imatinib in inducing response and controlling the disease for variable periods of time. Given the sensitivity of advanced GIST to tyrosine-kinase inhibition, adjuvant therapy and a second line of tyrosine-kinase inhibitors have been developed, including sunitinib, regorafenib and other alternatives.

Case Presentation: Here we describe a 54 year-old male, presented with GIST who had the best response with each of the treatment lines and thus helped us understand the progress of GIST treatment as well as disease evolution.

Conclusion: This case report allowed us to describe the most salient aspects of the disease, having the practicing clinician in mind, while we also discuss recent options for the treatment of patients presenting disease progression after initial therapy with imatinib.

Keywords: (MeSH); GIST; Sarcoma; Tyrosine kinase

Introduction

Gastrointestinal Stromal Tumor (GIST) is the most frequent mesenchymal tumor of the gastrointestinal tract; its most common site is the stomach (50-60%), followed by small bowel (20-30%), and large bowel (10%), being less frequently found in the retroperitoneum, esophagus, omentum, and mesentery [1-4]. Because in many cases this tumor shows a relatively slow growth rate and there is occurrence of clinically silent GISTs in up to 3% of autopsies [5] its true incidence is unknown. It has been estimated that approximately 5,000 new cases of GIST are diagnosed each year in the US alone [6]. No data are currently available regarding the epidemiology of GIST in Brazil, but there has been growing interest in this disease on the part of clinicians and investigators over the years.

KIT expression is a major diagnostic criterion for GIST, and nearly 95% of GIST tumors stain positively for CD117 [6]. In most cases, somatic KIT mutations can be found, typically in exons 9, 11, and 13 [6,7]. In the largest published series of patients from Brazil, the immunohistochemical and mutation profiles of GIST were similar to those previously reported [8]. When no KIT mutations are found, sometimes a somatic

mutation is found instead in the gene encoding the platelet-derived growth-factor receptor (PDGFR) [9,10]. Since PDGFR is structurally closely related to KIT, both have become targets for similar therapeutic interventions, which is further discussed in detail. GISTs may also stain positively for CD34 and smooth-muscle actin, and are generally negative for desmin and S-100 expression. A diagnostic panel including these markers usually differentiates between GIST and other soft-tissue tumors [6,11]. However, it should be noted that marker expression in GIST may vary according to tumor location [11].

Over the years, various stratification schemes have been proposed for GIST patients, and they appear to have overall equivalent prognostic accuracies [12]. A consensus meeting in 2001 emphasized tumor size and mitotic index for risk stratification of primary GIST [1]. According to these guidelines, a high-risk primary GIST is defined as a tumor that either (1) measures >10 cm, (2) has a mitotic count >10 (per 50 high-power fields), or (3) has a mitotic count >5 and size is >5 cm. Intermediate-risk GIST is defined as a tumor that either (1) measures <5 cm and has a mitotic count of 6 to 10, or (2)

measures from 5 to 10 cm and has a mitotic count <5 . Low-risk lesions measure between 2 and 5 cm and have mitotic counts <5 , and very-low-risk lesions measure <2 cm and have mitotic counts <5 . Given the variable risk of recurrence according to the primary site of GIST, patient stratification may also take into account the lesion site [13,14]. For intestinal GISTs, tumor size >5 cm, regardless of the mitotic index, indicates at least a moderate risk for metastasis, and a mitotic count >5 denotes a high risk; intestinal GISTs that measure ≤ 5 cm and have mitotic counts ≤ 5 have a low risk for metastases [13].

Apart from asymptomatic lesions measuring <2 cm, surgical resection is the mainstay of therapy for primary GIST with no metastasis in order to reach complete resection of visible and microscopic disease, avoid tumor rupture and obtain negative margins [15,16]. Patients with a gastric primary tumor do better than those with intestinal primary tumors. GIST has a tendency to recur both locally and in the form of peritoneal and liver metastases, but lymph-node and distant metastases are infrequent [6]. As for asymptomatic GISTs measuring <2 cm, there is insufficient information to guide its management, which are often discovered incidentally on endoscopy. Systemic therapy for GIST may be used for patients with advanced disease or in the adjuvant and neoadjuvant settings. Early case reports and clinical trials have established the role of the tyrosine-kinase inhibitor imatinib in inducing response and controlling the disease for variable periods of time [17,18]. Given the sensitivity of advanced GIST to tyrosine-kinase inhibition, adjuvant therapy and a second and third line of tyrosine-kinase inhibitor to treatment is the new reality.

Since 2001, the introduction of imatinib radically improved the survival of patients with GIST; however, 12-15% of patients are primarily resistant to this agent, [19] and most eventually develop secondary resistance. Moreover, some patients can be intolerant to imatinib. For patients who are refractory or intolerant to imatinib, alternative treatments have emerged as options in second and subsequent lines of therapy. Sunitinib is a multi-targeted tyrosine-kinase inhibitor approved by the US Food and Drug Administration for patients with GIST-resistant or who are intolerant to imatinib. Its clinical benefit was demonstrated in a pivotal trial of sunitinib versus placebo in 312 patients with refractory disease [20]. In 2011, Regorafenib emerged as a novel multi-kinase inhibitor with activity against multiple targets, including vascular endothelial growth factor receptors 1-3, PDGFR, fibroblast growth factor receptor 1, Kit, and other protein kinases [21]. Here we present a case of a patient with GIST who underwent different treatment strategies and received different choices of tyrosine-kinase inhibition, adjuvant therapy and a second and third line of tyrosine-kinase inhibition that in total provided an overall survive of 13 years.

Case Presentation

A 54 year-old male, engineer, presented with an abdominal mass in November of 2002, at a private hospital in Rio de Janeiro,

Brazil. Family history indicated occurrence of several previous cases of cancer in the family, including of lung, uterus, colon and kidney cancer. The patient was submitted to an abdominal laparoscopy that revealed an ileum tumor with pelvic metastases. The main tumor was resected, with macroscopically residual disease. Histopathological analysis of the main tumor showed an 11x7 cm lesion in the ileum, and fragments in the peritoneum of 2.5x1.0cm, both suggestive of gastrointestinal stromal tumor (GIST). To confirm the GIST, an immunohistochemistry staining was done revealing diffuse cytoplasmic positivity for CD 117 (c-KIT).

In the post-operative physical examination, the patient presented adequate performance status (PS 1) and the physical examination revealed a mesogastric abdominal mass. The medical team decided to start imatinib using the standard recommended dose of 400mg/day. This treatment was initiated in November of 2002. The patient was well for 5 years, and continued to use the medication as expected and according to the findings of a pivotal phase III trial of imatinib in metastatic GIST. During follow-up, CT images obtained at every six months showed a progressive decrease of the tumors until 2007 when the tumors were no longer detected. In 2009 and after 7 years of taking 400mg/day of imatinib, several mesenteric nodules and a retrovesical mass were shown in the CT images. The imatinib dose was then increased to 800mg/day as a second line treatment for progressive disease. The patient started the new dose in 2009 but in August 2010, he developed adverse events and was hospitalized with dehydration, diarrhea, malnutrition and hypoalbuminemia.



Figure 1: PET-CT before Regorafenib.

Therefore, the imatinib was interrupted and the patient was off of similar medication for five months. In January 2011, after several months of hospitalization, the patient returned for evaluation and a CT image revealed disease progression. The patient started to take 25mg/day of sunitinib and one month later, the dose increased to 50mg/day for 4 weeks on and 2 weeks

off treatment, with good response and mild and manageable side effects, namely diarrhea, periorbital edema and elevated TSH. The patient showed stable disease for 30 months, which is a period longer than the average time period of phase III trial of sunitinib for GIST patients after progression of imatinib. In August 2013, a PET CT showed the disease had progressed to lymph nodes and the patient complained of abdominal pain (Figure 1).

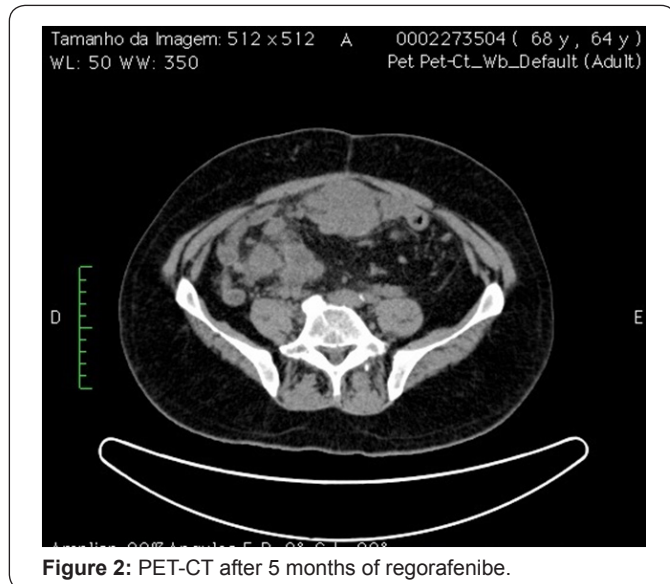


Figure 2: PET-CT after 5 months of regorafenibe.

At that time, Regorafenib was started as a third line treatment at 160mg/day for 21 days with 7 days off, following the protocol used in the trial for regorafenib in GIST, which referred the medication as third line treatment for cases where disease progression was observed following the intake of imatinib and then of sunitinib. The patient presented stable disease for 18 months (Figure 2) and until February 2015, when a PET CT showed disease progression. During the 18-month period while taking regorafenib, the patient presented with good performance status (PS 1) and tolerated well adverse events. The most important toxicity was diarrhea and asthenia, both grades 2. This great response exceeded expectations, according to median time of progression-free survival observed in a phase III pivotal study [22].

At the time of progression while taking regorafenib, patient's performance status was still 1. Imatinib reintroduction was considered but, after 3 months of intake of reduced dose (400mg/day), patient's performance status declined, and the abdominal mass became symptomatic and pazopanib was planned to be introduced. The patient died in November 2015 before starting the pazopanib treatment.

Discussion

Objective responses to imatinib, which are typically partial, is expected in approximately two-thirds of patients, and the median progression-free survival ranges from 15 to 20 months. Imatinib interruption, or discontinuation, has generated

significant controversy over the years. A randomized trial has shown that a high risk of rapid progression exists even when the agent is discontinued after 3 years, thus suggesting that discontinuation of imatinib in responding patients with good tolerance should not be done outside clinical trials [23]. Despite early controversies regarding the initial use of higher doses, a daily dose of 400 mg is standard for most patients, and higher doses (600 or 800 mg daily, as tolerated) may be considered for patients with disease progression or specific mutations (e.g., KIT exon 9 mutations) [15,24]. Although there is clear value in monitoring imatinib serum levels, it should not be done routinely [15]. Surgical resection of residual disease among patients who are responding to imatinib may be considered in selected cases, although only an inconclusive randomized trial that investigated the issue has been published so far [25].

Finally, retreatment with imatinib is feasible for some patients with a planned discontinuation, but the benefit thus achieved and the availability of novel agents have not encouraged the option as a routine practice [26,27]. It should be noted that imatinib is extensively metabolized by the CYP3A4 enzyme, thus having the potential to interact with various drugs [28]. Moreover, imatinib has the potential to increase the concentration of drugs such as warfarin and midazolam. Fluid retention, dyspepsia, nausea, diarrhea, fatigue, muscle cramps, abdominal pain, and rash are the most common adverse events reported with imatinib, whereas lung toxicity, liver function abnormalities, leukopenia and gastrointestinal bleeding are relatively rare [29].

Although the optimum duration of imatinib adjuvant treatment is not yet known, postoperative imatinib is recommended for at least 12 months in intermediate- to high-risk patients [15]. Of note, a randomized trial showed improved relapse-free and overall survival for 3 years of adjuvant therapy with imatinib, in comparison with only 12 months, among patients with a high risk of recurrence [30]. Imatinib as neoadjuvant therapy is supported by previous studies [31] for patients with large primary tumors that are potentially resectable, in whom downstaging may allow for potentially curative surgery [15,32]. However, the use of imatinib as neoadjuvant therapy has been reported in small clinical trials only, with no concurrent comparison of the results obtained with immediate surgery [33-36]. Given the results of randomized trials on adjuvant therapy, postoperative imatinib should be considered for patients undergoing preoperative therapy.

Sunitinib is a multi-targeted tyrosine-kinase inhibitor approved by the US Food and Drug Administration for patients with GIST resistant or intolerant to imatinib. In the latest update, in a pivotal trial of sunitinib versus placebo in patients with refractory disease, [20] the objective response of patients in the sunitinib group was only 7%; however, the median time to tumor progression was significantly higher in this group than in the placebo group (27 versus 6 weeks; hazard

ratio [HR]=0.33; $p<0.0001$). In the initial report, there was a significant difference in overall survival favoring sunitinib, but crossover design allowed all patients the opportunity to benefit from access to this active treatment and likely contributed to a lack of significant difference in the final analysis [37]. The main adverse events with sunitinib include anemia, neutropenia, fatigue, diarrhea, skin discoloration, nausea, anorexia, dysgeusia, stomatitis, and vomiting. Most adverse events are manageable with symptomatic, periodic interruptions, or dose reduction. The approved dose is 50 mg daily for 4 of every 6 weeks, but an alternative schedule of continuous daily intake of 37.5 mg has indications to be safe and effective [38].

The activity of regorafenib, a multi-kinase inhibitor that acts against multiple targets, including vascular endothelial growth factor receptors 1-3 (VEGFR), platelet-derived growth factor receptor (PDGFR), fibroblast growth factor receptor 1 (FGFR), KIT, and other protein kinases, also acts against GIST cell lines, which was demonstrated in preclinical studies [21,39]. A subsequent phase II trial of regorafenib in patients with advanced GIST after failure of both imatinib and sunitinib demonstrated a median progression-free survival of 10 months [40]. Partial response and stable disease for 16 weeks or longer were reported in four and in 22 patients, respectively. These promising results prompted the GIST-Regorafenib In progressive Disease (GRID) phase III trial, in which 199 patients with metastatic or unresectable GIST refractory to imatinib or sunitinib were randomized to receive regorafenib or placebo [22].

The median progression-free survival was 4.8 months in the regorafenib arm and 0.9 month in the placebo arm (HR=0.27; $p<0.0001$). Partial response was uncommon and occurred in six patients in the regorafenib arm and in one patient in the placebo arm. On the other hand, stable disease was achieved in 71% of patients in the regorafenib group and in 33% of patients in the placebo group ($p<0.0001$). Like other effective kinase inhibitors in TKI-resistant disease, regorafenib did not induce high rates of objective tumor response, according to modified RECIST criteria [22]. However, disease control rate (defined as the sum of objective tumor response plus stable disease for at least 12 weeks) was higher in regorafenib-treated patients (52.6%, 70/133 patients) than in placebo-receiving patients (9.1%, 6/66 patients), suggesting that regorafenib was associated with clinically meaningful tumor control in patients with advanced GIST following failure of all other approved TKI therapies.

The benefit of regorafenib was observed both for patients whose tumors harbored exon 11 and exon 9 mutations. The only group in which the benefit of regorafenib was not identified was in the small subset of patients with less than 6 months of imatinib treatment. There was a significant benefit with the use of regorafenib even among the 43% of patients who had received three or more previous lines of anticancer therapy for GIST. There was no difference in overall survival between groups,

probably because of crossover in 85% of the patients from the placebo group after progression. Such impression is confirmed by a recent exploratory analysis, in which statistical adjustment for the effect of crossover during the GRID trial disclosed a benefit in overall survival favoring regorafenib [41].

Final overall survival analysis with modeling of crossover impact in the phase III GRID trial of regorafenib versus placebo in advanced gastrointestinal stromal tumors (GIST) showed that IPE correction provides more linearly median times and overall survival hazard ratios than RPSFT. These exploratory analyses modeling the impact of crossover to active drug suggest that regorafenib has a greater overall survival benefit than noted in the intention-to-treat analyses (HR=0.51; IC 0.35-0.73) [41]. These results led to the approval of regorafenib by the US Food and Drug Administration for the treatment of metastatic or unresectable GIST after progression with imatinib and sunitinib.

The most common grade 3 or higher adverse events related to regorafenib in the GRID trial were hypertension, hand-foot syndrome, and diarrhea [22]. However, nearly the same percentage of patients in the regorafenib (6%) and placebo (8%) arms required permanent discontinuation of the study drug due to adverse events, which suggests that the toxicity of regorafenib may be adequately managed with dose modification. Hand-foot syndrome may be a bothersome adverse event of various anticancer agents, including some tyrosine-kinase inhibitors. Among the proposed strategies to mitigate this adverse event caused by regorafenib are close patient monitoring, avoidance of skin pressure or trauma, topical emollient therapy, and reducing the dose of the medication [42]. Fatigue is also a frequent finding among these patients, but the extent to which it is due to treatment or the disease itself may not always be evident. In the GRID trial, fatigue was reported in 39% of patients treated with regorafenib (grade 3 in only 2%), and 27% of those receiving placebo (no cases of grade 3) [22]. These toxicities do not appear to be cumulative, and patient education and monitoring, as well as proactive management, are key components of a strategy to reduce their incidence, duration, and severity [42].

Other Tyrosine-Kinase Inhibitors

Nilotinib is a potent second-generation tyrosine-kinase inhibitor with activity against cell lines with activating mutations of Kit or PDGFR [43,44]. Moreover, nilotinib has antitumor effect against GIST cell lines and imatinib-resistant GIST patients [45,46]. A phase II trial evaluated nilotinib in 35 patients who were resistant or intolerant to both imatinib and sunitinib [47]. Nine patients achieved stable disease as the best response at week 24, and only one patient had partial response. Other studies supported the clinical activity of nilotinib following prior imatinib and sunitinib failure [48,49]. However, a large randomized trial comparing nilotinib versus best supportive care failed to demonstrate clinical benefit by central review among patients with GIST refractory or intolerant to both

imatinib and sunitinib [50]. Finally, a randomized trial compared nilotinib versus imatinib as first-line treatment for advanced GIST, but no significant activity was demonstrated in this setting [51]. Therefore, nilotinib warrants further evaluation before being incorporated into clinical practice for GIST therapy [52].

Sorafenib is another multi-targeted inhibitor with selectivity to angiogenic kinases and the GIST oncogenic drivers, Kit and PDGFR. Its antitumor activity against imatinib- and sunitinib-resistant GIST cell models was demonstrated in preclinical studies [53]. In a phase II trial, sorafenib was administered to 31 patients with pretreated GIST and showed 36% of disease control rate, defined as partial response or stable disease at 24 weeks [54]. In another phase II trial that evaluated sorafenib for patients with unresectable tumor, KIT-positive GIST refractory to imatinib and sunitinib, 55% of patients had stable disease, and 13% had partial responses [55]. Retrospective studies reported the activity of sorafenib in GIST resistant to imatinib and sunitinib [56,57].

Dasatinib, which has activity against mutant Kit and PDGFR, [58] was assessed in two phase II trials in GIST. In one trial, 47 patients with GIST resistant to imatinib and sunitinib were treated; despite the fact that 32% of patients had a partial response and 21% were progression-free for at least 6 months, these results did not meet the predefined efficacy criterion [59]. In a phase II trial among kinase-naïve patients, dasatinib led to metabolic responses at 4 weeks in 67% of patients and a median progression-free survival of 11 months [60]. Masitinib is a novel tyrosine-kinase inhibitor that potently inhibits wild-type and exon 11 mutant Kit, with antitumor activity in patients with GIST resistant to imatinib [61]. It also showed activity in a phase II trial that enrolled 30 imatinib-naïve patients [62]. Disease control was achieved in 96.7% of patients, with a median progression-free survival of 41 months. Two randomized trials are comparing masitinib versus standard therapies in first and second lines of treatment [63,64]. Finally, pazopanib, motesanib and vatalanib are tyrosine-kinase inhibitors that target Kit, VEGFR and PDGFR, but they had only modest activity in GIST [65-67].

Conclusion

Despite the benefit from the use of imatinib, most patients with GIST acquire resistance to this agent. Importantly, oncogenic kinase activity continues to be crucial for tumor cell proliferation and survival after disease progression [68]. Sunitinib and regorafenib have expanded the therapeutic arsenal in GIST, as they provide clinical benefit for patients with GIST in second and third lines of therapy, respectively [22,37]. Regorafenib is a multi-kinase inhibitor with a manageable safety profile and demonstrated benefit in terms of progression-free survival for patients with the most frequent KIT mutations [22]. The introduction of regorafenib is a welcome expansion of the treatment arsenal against GIST and gives patients an

even broader therapeutic horizon than only a few years ago. Despite the improved survival accrued from the use of such therapies over the past 15 years, patients still require effective novel agents, many of which are under investigation. It is hoped that continuing improvements in drug development and better understanding of the clinical implications of genotypic alterations will allow for further gains for patients with GIST.

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