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# Multiple Pathogenic Mechanisms of Alzheimer's Disease



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#### Abstract

Alzheimer's disease is a growing global health problem with enormous implications for individuals and societies. In this review, we review the current understanding of the mechanism of sex differences in Alzheimer's disease, the physiological structure of the brain - the entorhinal cortex, the genetic factors APOE, cytokines, mitochondrial homeostasis, and vascular dementia, and discuss the clinical manifestations of Alzheimer's disease and the current treatment strategies. Finally, based on our understanding of the pathogenesis of Alzheimer's, including an understanding of the long-term preclinical phase, informs new treatment strategies with the aim of moving from treatment to prevention.

Keywords: Alzheimer's disease; Sex differences in AD; Entorhinal cortex; APOE cytokines; Mitochondrial homeostasis; Vascular dementia

#### Introduction

Alzheimer's disease (AD) is a neurodegenerative disease characterized by widespread brain atrophy and loss of cognitive function [1]. Alzheimer's disease is currently one of the major public health problems and is expected to increase exponentially in the coming decades, and has been the focus of research without being able to clarify its pathogenesis. Clinical symptoms of AD include progressive memory loss, impaired executive function, and difficulty performing routine daily activities; Early symptoms of AD include changes in thinking or unconscious behavior, memory impairment of new information, and language and speech dysfunction. In addition, 20% to 30% of patients with early AD show significant depressive symptoms and mood changes [2].

#### Mechanisms attributing to sex differences in AD

There is compelling yet incomplete evidence that the sexspecific, age-related depletion of estrogens in women and androgens in men are significant factors in the association between age and AD. Sex steroid hormones exert a wide range of neuroprotective actions in adults, termed sex hormone active effects, which diminish with age-related losses in hormones and hormone responsiveness. In addition, emerging evidence suggests that developmental effects of sex steroid hormones that lead to sexual differentiation of the brain, termed organizational effects, yield a female brain that may be inherently more vulnerable to AD pathogenesis [3].

The response to  $A\beta$  deposition evolves stereotypically in amphibian brain regions (cortex and hippocampus). Notably, Carlo showed that microglia in female mice progressed faster on the ARM trajectory compared to microglia in male mice, which is consistent with earlier histological analyses. This sex-dependent difference in microglia response is noteworthy because of the higher incidence of AD in females. Women may be more sensitive to these pathological biomarkers of AD than men. This is consistent with a recent study that reported higher levels of total TAU and  $A\beta42$  in the cerebrospinal fluid, faster cognitive decline, and hippocampal atrophy in women, indicating more severe pathological changes than in men. A meta-analysis showed that men (OR, 3.09; 95% CI, 2.79-3.42) and women (OR, 3.31; CI, 3.03-3. 61) No difference in AD risk was shown for APOE  $\varepsilon 3/\varepsilon 4$ genotypes at age 55 to 85 years; however, at age 65 to 75 years, women had a higher risk than men (women, OR, 4.37; 95% CI, 3.82-5.00; men, OR, 3.14; 95% CI, 2.68-3.67; P = .002) [4].

It was originally proposed that lifetime depression is a risk factor for Alzheimer's disease because early meta-analyses showed a significant correlation between the duration of time between depression and the diagnosis of AD and the risk of AD. Stress exposure during adolescence has a stronger proximal effect on girls, including an increased risk of mood-related and stress-related conditions such as depression, anxiety, and posttraumatic stress disorder [4]. Depression is more common and severe in women [5], and moderate/major depressive symptoms are associated with a tripled increased risk of MCI in women but are not associated in men, although mild symptoms are associated with a tripled risk of MCI in men, but are not associated in women [6,7].

Women born in the first half of the 20<sup>th</sup> century had less education than men, which may explain the elevated risk for women [11], as limited formal education is a risk factor for dementia. This explanation requires more research, but there is evidence that in the United States, women's educational attainment increased more than men's over time, which led to a lower risk of dementia. Interestingly, studies in Europe have found that the association between lower educational attainment and dementia outcomes may actually be stronger in women than in men.

A sudden decrease in menopausal sex hormones (estrogen and progesterone) appears to affect the metabolic activity of the brain and the state of decreased / oxidation (redox) because: (i)  $17\beta$ -estradiol, the main estrogen produced not only by the peripheral glands (ovaries), but also within the nervous system, regulates glycolysis, tricyclic acid circulation and mitochondrial respiration; (ii) decrease in sex hormone levels is paralleled by an increase of oxidative stress in female brains [8,9]. After menopause, ovarian sex hormones such as 17β-estradiol and progesterone decline rapidly. Before menopause, oophorectomy results in significant loss of estrogen, progesterone, and testosterone, disrupting the hypothalamic-pituitary axis. As we age, male sex hormones decrease, but their effects are less severe than those of female sex hormones such as progesterone and estrogen [10]. Estrogen compounds can prevent mitochondrial toxicity  $\beta$  amyloid [11], so estrogen action may be important for protecting cells from  $\beta$  amyloid toxicity, promising to become a possible treatment or prevention strategy for future female patients.

Gender differences in the risk of developing sleep disorders are recognized and women have more sleep problems and peak during menopause. The production of A $\beta$  occurs mainly during waking, while its clearance occurs mainly during sleep. Older people tend to have poor sleep quality, which leads to a decrease in A $\beta$  clearance, an increase in the accumulation of A $\beta$  in the brain, and an increased risk of developing AD [12].

Although  $\epsilon 2$  is generally believed to be protective against AD, evidence exists to suggest this is true in  $\epsilon 2/\epsilon 3$  individuals of both sexes, but only in  $\epsilon 2$  homozygous females [13].

Finally, there is evidence that although women's  $\beta$ -amyloid and tau levels are similar, women show faster cognitive decline and neurodegeneration than men, meaning that Alzheimer's marker protein may have more negative effects on women than men [14,15].

# **Entorhinal cortex**

According to functional magnetic resonance studies, neural network connections appear to break in AD. Connectivity between the entorhinal cortex and the hippocampus decreases with age, and these functional changes are associated with memory deficits [16]. The entorhinal cortex (EC) is one of the first cortical brain regions to exhibit neuronal loss in AD. Neurons in the outer EC layer, especially in layer II, accumulate tau-positive neurofibrillary inclusion bodies and die early in AD. However, these selectively fragile neurons have not yet been characterized at the molecular level [17]. Alexandra proposed that the Alzheimer's disease risk gene APOE was specifically inhibited in Alzheimer's disease oligodendrocyte progenitor cells and astrocyte subsets, and upregulated in Alzheimer's disease-specific microglia subcellular [18]. The study provides insights into the coordinated control of Alzheimer's disease risk genes and their specific contributions to cell type susceptibility to disease. Glutamatergic systems are widely associated in AD pathophysiology. Glutamatergic dysfunction in AD appears to be mediated by a variety of mechanisms, including A<sup>β</sup> binding to glutamate receptors, tau binding to intrinsic cytoskeletal proteins leading to receptor overactivation, and internalization of glutamate transporters leading to glutamate accumulation in synaptic and extrasynaptic areas [19,20]. The glutamatergic system plays a key role in regulating synaptic activity, so any disruption of glutamatergic receptor composition can have a significant impact on normal neuronal function. Quantification of GluA2 expression in human post-mortem hippocampus revealed a significant increase in the stratum moleculare of the dentate gyrus in AD compared with control. Increased GluN1 receptor expression was found in the str. moleculare and hilus of the DG, str. oriens of the CA2 and CA3, str. pyramidale of the CA2, and str. radiatum of the CA1, CA2, and CA3 subregions and the entorhinal cortex. GluN2A expression was significantly increased in AD compared with control in the str. oriens, str. pyramidale, and str. radiatum of the CA1 subregion [21]. This study provides evidence of specific glutamatergic receptor subunit changes in the AD hippocampus and entorhinal cortex. In AD, the density of GluN1 around the entire hippocampus, hypothalamus, the entorhinal cortex, and neurons around STG decreases, membrane localization markers in the CA1 region are more, and neural staining is increased in all areas examined. Cases of AD showed increased immunoreactivity within the DG chain molecule compared to the control group.

In healthy children with ApoE4 carriers, the effect of ApoE4 on cognition and neurodegeneration has even been observed [22], as

it impairs their working memory and causes the entorhinal cortex to thin with age, which is the initial sowing site of tau pathology [18]. Young people with the  $\varepsilon$ 4 allele showed greater efficiency in learning memory, which was measured by reducing the blood oxygen level dependence (BOLD) response more rapidly than in learning experiments, and therefore using memory resources more efficiently [23]. They also showed better performance in terms of processing speed, attention, and language fluency. Despite the potential early benefits, older  $\varepsilon$ 4 individuals showed evidence of an early decrease in entorhinal cortex volume and an increased rate of hippocampal volume loss [13].



# APOE

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Apolipoprotein E (ApoE) is a 34-kDa lipid-binding protein that acts in the transport of triglycerides and cholesterol in multiple tissues [24,25], including the brain [26], by interacting with lipoprotein receptors on target cells [27]. ApoE is especially important for the brain because other cholesterol transporters [28], such as ApoA1 [29] and ApoB [30], which are abundant in plasma, are almost non-existent in the brain, making the brain particularly dependent on ApoE for cholesterol transport [31].

A new ApoE-knockout AD mouse model experiment shows that plasma lipid levels can affect cognition and synaptic function independently of ApoE expression in the brain [32].

*APOE*, a3.6 kb long gene, is located on chromosome 19 and encodes for apolipoprotein E (APOE), a 299 amino acid long lipoprotein. Three APOE isoforms exist in humans: ApoE2, ApoE3, and APOE4, which differ from one another by single amino acid substitutions at positions 112 and 158, APOE2 (Cys-112, Cys-158) [33], ApoE3 (Cys-112, Arg-158) [34], and ApoE4 (Arg-112, Arg-158) [35]. Many studies have shown that ApoE undergoes fragmentation in the human brain, and that fragmentation patterns vary by subtype. Previously shown that ApoE4 has a neurotoxic function, but recent data have also determined the neuroprotective effect of the ApoE N-terminal 25 kDa fragment, which is more prevalent in ApoE3 individuals. The ability of ApoE 25 kDa fragments to promote neurites has recently been demonstrated, suggesting that in addition to the increased toxic function of the specific ApoE4 fragments described earlier, ApoE4 individuals have a potential neuroprotective loss [36]. Substitution of cysteine at position 158 in ApoE2 results in hypocholesterolemia caused by low levels of low-density lipoprotein (LDL), cholesterol. In contrast, substitution of cysteine with arginine at position 112 in ApoE4 results in elevation of plasma cholesterol and LDL levels and predisposes the carrier to cardiovascular disease and neurodegenerative disorders, including Alzheimer's disease [13]. Maria's study showed that astrocytes and microglia differentially express and secrete glycosylated forms of ApoE, and that APOE4 astrocytes and microglia are deficient in immunomodulation compared to APOE2 and APOE3 [37].

Individuals carrying the APOE4 allele are at higher risk of AD than those carrying the  $\epsilon$ 3 allele, while the risk is reduced for the  $\epsilon$ 2 allele. APOE isoforms play a central role in the control of brain lipids, neuronal signaling, mitochondrial function, glucose metabolism, and neuroinflammatory transport [38]. Men with

APOE  $\varepsilon 3/\varepsilon 4$  were at increased risk of developing AD compared to men with APOE  $\varepsilon 3/\varepsilon 3$ . The APOE  $\varepsilon 2/\varepsilon 3$  genotype protects women more than men to reduce the risk of AD. There was no difference in the risk of MCI between women and men aged 55 to 85 years, but there was an increased risk in women between the ages of 55 and 70. Between the ages of 55 and 85, there was no significant difference in the risk of switching from MCI to AD between men and women. Individuals at increased risk of APOE  $\varepsilon 4/\varepsilon 4$  compared with individuals with  $\varepsilon 3/\varepsilon 4$ , but no significant differences were observed between men and women at  $\varepsilon 4/\varepsilon 4$  [39].

Apolipoprotein E4 carriers had higher levels of plasma proinflammatory markers TNF $\alpha$  and IL-6 than apolipoprotein E3 carriers, suggesting that apolipoprotein E4 promoted inflammation compared to apolipoprotein E3. Using 18F-fluorodeoxyglucose (FDG) as a radioactive tracer, ApoE4 carriers exhibited low metabolism of local glucose relative to ApoE3 carriers [40]. There also appears to be a link between low metabolism and brain atrophy in apolipoprotein E4 carriers, as they exhibit decreased CMRglc and decreased MRI gray matter volume [41]. Interestingly, the effects of apolipoprotein E4 on amyloid deposition and glucose metabolism appear to be reversed, as ApoE4 is associated with more amyloid deposition in the frontal lobe and a more profound metabolic impairment in the posterior cortex [42].

# Cytokines

In AD, the accumulation of  $A\beta$  in the brain disrupts the physiological functions of the brain, including synaptic and neuronal dysfunction, microglia activation, and neuronal loss. Interleukin-1 receptor accessory protein (IL-1RAcP) is a member of the immunoglobulin superfamily proteins consisting of soluble and membranous isoforms [43]. Elevated serum soluble ST2 (sST2) levels (a bait receptor for interleukin (IL)-33) in patients with MCI suggest that impaired IL-33/ST2 signaling may contribute to the pathogenesis of AD. IL-33 administration reduces soluble  $A\beta$  levels and amyloid plaque deposition by promoting microglia recruitment and Aß phagocytosis activity, which is mediated by ST2/p38 signal activation. In addition, IL-33 injection modulates the innate immune response by microglia/ macrophages to the anti-inflammatory phenotype and reduces the expression of pro-inflammatory genes (including IL-1β, IL-6 and NLRP3) in the APP/PS1 mouse cortex [44]. Lau defined a PU.1-dependent transcriptional pathway that drives IL-33induced microglia functional state transitions that enhance  $A\beta$ clearance [45]. IL-33 induces CCL2, TNF- $\alpha$  and nitric oxide release by phosphorylation of ERK in mouse astrocytes. Incubation of mixed cultures containing glial cells and neurons or neuronal culture with IL-33 alone reduces the number of microtubuleassociated protein 2-positive neurons [46]. The elevation of both IL-10 and IL-33 is significantly associated with an improvement of episodic memory of treated patients, as measured by the Delayed Verbal Ray Test [47]. There is evidence that the inflammatory process is associated with AD. On the other hand, in patients with

AD, inflammatory products aggregate at a different rate than in a healthy control group [10]. In addition, in patients with AD, IL-6 is present in age plaques, and an increase in immunoreactivity to IL-6 is noted in the ventricles and lumbar cerebrospinal fluid [48].

Female APO¢4 carriers had higher IL-16 than non-carriers, whereas the opposite was true for IL-8 in males. In addition, women had higher plasma CRP and ICAN1 levels on average, but lower CSF ICAM1, IL-8, IL-16 and IgA levels than men. Potential cytokine biomarkers of the aging process vary by gender. A key molecule of inflammation is the pro-inflammatory cytokine TNF- $\alpha$ . Some evidence using genetic and pharmacological manipulations suggests that TNF- $\alpha$  signaling exacerbates A $\beta$  and tau pathology in vivo. Interestingly, preventive and interventional anti-inflammatory strategies have shown reductions in brain pathology and improvements in cognitive function in rodent models of AD. phase I and phase II clinical trials suggest that TNF- $\alpha$  inhibitors may slow cognitive decline and improve daily activities in patients with AD.

#### Mitochondria

Decades of research have shown that mitochondria in Alzheimer's disease (AD) patients differ from those in non-AD patients [54]. Mitochondria in AD patients are altered in number, ultrastructure and enzymatic activity. Effective clearance of aging and dysfunctional mitochondria by mitochondrial phagocytosis is essential for mitochondrial maintenance and neuronal health.

Aβ accumulates in the mitochondria of the AD brain, with altered mitochondria, decreased mitochondrial respiratory function and ATP production, impaired mitochondrial dynamics, and increased mitochondrial-associated oxidative stress [2]. It is clinically manifested by the presence of amyloid plaques (Aβ) and neurofibrillary tangles (NFT) within the brain. Due to intraneuronal processing, Aβ interacts with cellular targets such as mitochondria, ER, and Golgi apparatus and hampers their normal functions [49]. Typical neuropathological features of the disease (β amyloid) and sporadic AD risk genes (APOE) may trigger mitochondrial disorders, but mitochondrial dysfunction may precipitate pathology [50]. Alteration in the mitochondrial function, closely related to the production of reactive oxygen species (ROS), Ca<sup>2+</sup> overload, and apoptosis in the brain, is one of the key pathological events studied in AD pathogenesis.

The development of chronic disease usually involves an increase in mitochondrial matrix Ca2+. Thus, by examining the content of endothelial cell mitochondrial Ca2+ to provide the ability to release into the cytoplasmic matrix, someone has altered the development of overall AD pathology by using FCCP mitochondrial uncoupling. FCCP is a proton ionophore (typically -180 mV relative to the cytoplasm), which reduces the affinity of Ca2+ ions within the mitochondrial matrix. Thus, Ca2+ on FCCP was increased after Ca2+ purinoceptor stimulation (except for the A $\beta$  condition where Ca2+ was higher, with any visible sex difference

between females  $(0.48 \pm 0.02, n = 5)$  and males  $(0.33 \pm 0.02, n = 5)$ 5) on FCCP of approximately 40% (p<0.05) and any visible sex difference in AD and pre-addid disease.  $\Delta$ F340/F380 is masked when considering only the pathology of AD in combination with data from both sexes. Mitochondrial dysfunction is often associated with altered redox status, and both bioenergetic deficiency and chronic oxidative stress are major causes of cognitive decline associated with brain aging and Alzheimer's disease. Studies suggest that induction of mitochondrial stress responses is essential for maintaining mitochondrial protein homeostasis and health is critical. Notably, increasing mitochondrial protein homeostasis through pharmacologically and genetically targeted mitochondrial translation and mitochondrial autopsy could increase the fitness and longevity of GMC101 worms and reduce amyloid aggregation in cells, worms, and transgenic mouse models of Alzheimer's disease.

It has been found that neurons with upregulated *OxPhos* genes have been observed in animal models of AD to exhibit higher oxidative damage (elevated 8-OHG levels), suggesting that mitochondrial dysfunction causes oxidative stress in the brain of AD [51]. Interestingly, hypermetabolism was detected in several studies in the early stages of patients with mild cognitive impairment (MCI) [52-55].

# Vascular dementia

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Vascular dementia (VaD) is recognised as the second most prevalent type of dementia [56].

Vascular hypothesis explaining the link between vascular dysfunction and AD. (Reprinted/adapted with permission from [57]).

Impaired blood flow response to neural activity due to neurovascular lesions can lead to a mismatch between neural activity and the supply of oxygen and glucose needed to meet adequate metabolic needs. As a result, neural activity is reduced and therefore associated with impaired brain function, which clinically manifests as cognitive decline.

Given the critical importance of cerebral blood supply to the structural and functional integrity of the brain, it is not surprising that changes in cerebrovascular vessels have a profound impact on cognitive function [58-60]. Overlap of AD neuropathology (amyloid plaque and neurofiber tangles) with cerebrovascular lesions is observed in up to 50% of cases of dementia [61]. Vascular lesions are also present in other age-related neurodegenerative diseases, such as synucleosis, hippocampal sclerosis, and frontotemporal lobe degeneration associated with tau or TDP-43, but coexistence with AD is the most common [62-64]. Due to the coexistence of different lesions and the overlap with neurodegenerative pathology, it is difficult to determine the effect of each disease on cognitive dysfunction. Vascular risk factors, including hypertension [65], diabetes mellitus [66], hyperlipidemia [67], smoking [68], atrial fibrillation [69] and hyperhymocytosis [70],

increase the risk of dementia, in addition, metabolic syndromes, including insulin resistance, hypertension and dyslipidemia, are associated with lower cognitive abilities [71]. Vascular risk factors and associated oxidative stress and vascular inflammation alter BBB permeability [72], and BBB is destroyed during the course of the disease leading to worsening of the disease [71,73].

Since cerebrovascular disease and AD are common in the elderly, the coexistence of these two conditions may be just a coincidence. According to the additive model, the overall impact on cognition would come from the combined burden of vascular and neurodegenerative pathologies. Alternatively, vascular disease could promote AD and vice versa, causing an interaction between them that amplifies their pathogenic effects. The impact of vascular and AD neuropathology on cognition depends on the severity of AD pathology and the location of the vascular lesion. In advanced cases of AD, vascular pathology does not appear to have a significant impact on the progression of cognitive impairment, suggesting that AD pathology is a major driver of cognitive dysfunction. On the other hand, in older adults with moderate AD pathology, subcortical vascular lesions are a major determinant of dementia expression.

# Discussion

In this review, we introduce the pathogenesis of AD through six aspects: sex differences, brain physiological structure-Entorhinal cortex, genetic factors APOE, cytokines, mitochondrial homeostasis, and vascular dementia. The causes of AD are multifactorial, complex and difficult to explain, and conditions involving aging and neuroscience are often difficult to explain.

Although our understanding of AD has increased dramatically in recent years, it is still not possible to fully clarify its mechanisms. Next-generation genetic research has touched on a number of pathways that are important for the pathogenesis of AD: These pathways are currently being explored in cell and animal models and have led to the identification of new drug targets. A more nuanced model of preclinical AD, no longer seeing  $\beta$  amyloid, tau, and inflammation as steps along a sequential pathway, but rather as part of the cellular phase of the pathogenesis of AD, which will also lead to more complex treatment and prevention approaches [74].

Despite the far-reaching chronic effects of AD, current treatments are unable to achieve satisfactory therapeutic outcomes or stop disease progression. Today, the FDA approves only five drugs for AD treatment: donepezil, kabbalatine, galantamine, tacrine, and memantine. The first 4 drugs are acetylcholinesterase inhibitors, while the last drug is N-methyl-D-aspartate receptor (NMDAR) antagonist [75]. The failure of many major Phase 3 clinical trials using monoclonal antibodies that target brain  $\beta$  amyloid has raised doubts about the amyloid hypothesis, but perhaps more worrying is the prospect of AD disease alteration. Regarding the A $\beta$ 56 fraud incident some time

ago, the credibility of the A $\beta$  hypothesis has been questioned to a certain extent, and people are more willing to believe that amyloid is a product of autoimmune reactions and is not the cause of the disease.

The characteristics of future patients involve designing treatments, including age, sex, genetic factors, environmental factors and lifestyle, etc., and the treatment methods may also be different for AD patients with different conditions.

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#### **Author Contributions**

Jianning Zeng wrote this manuscript. Hongyan Pei and Weijia Chen are responsible for collecting literature. Zhongmei He and Rui Du guided this article.

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