Clinical Effect of Traditional Chinese Medicine on Knee Pain: A Nationwide Population-Based Cohort Study

Yuan Chun Lo\(^1\), Nian Ze Hu\(^1\), Po Hsu Chen\(^1\), Ying Ling Chen\(^1\), Mark C Hou\(^2\)* and Tai Wei Kuo\(^2\)

\(^1\)Department of Information management, National Formosa University, Taiwan
\(^2\)Department of Chinese medicine, Changhua Christian Hospital, Taiwan

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*Corresponding author: Mark C Hou, No.135, Nanxiao St., Changhua City, Changhua County, Taiwan, Tel: +8864-7238595; Fax: +8864-7232942; Email: dr.markhou@gmail.com

**Abstract**

Knee pain is a chronic progressive symptom that deteriorates the quality of life of elderly adults. Previous studies have indicated that knee pain can be ameliorated by acupuncture treatment. This study evaluated the clinical effect of the traditional Chinese medicine (TCM) on knee pain by analyzing the National Health Insurance Research Database (NHIRD) in Taiwan. We screened 240,000 randomly selected cases from the 2010 Longitudinal Health Insurance Database (LHID), a subset of the NHIRD, between 2001 and 2010. The inclusion criteria were patients who underwent total knee arthroplasty (TKA), were older than 45 years, and received a diagnosis for arthropathies (ICD-9 Codes 710~719). The patients were categorized according to their use of TCM. Cox regression models were employed to examine the hazard ratios (HRs) of the two groups. In addition, NodeXL was applied to analyze the core patterns according to the transformative comorbidities of the two groups of patients. Acupuncture can ameliorate knee pain; however, we found that TCM did not decrease the risk of TKA resulting from knee pain. Most patients with TKA had the comorbidity of hypertension.

**Keywords:** Knee pain; Total knee arthroplasty; Traditional chinese medicine

**Abbreviations:** TCM: Traditional Chinese Medicine; NHIRD: National Health Insurance Research Database; LHID: Longitudinal Health Insurance Database; TKA: Total Knee Arthroplasty; HRs: Hazard ratios

**Introduction**

Although advancements in medicine have lengthened human life expectancy, numerous issues associated with an aging society have accompanied this prolonged lifespan. For example, 28% of people older than 45 years in the United States have knee osteoarthritis, and this increased to 37% for people older than 65 years [1,2]. Knee osteoarthritis is a major factor associated with the inability to work, [3,4] and knee pain from knee osteoarthritis is a chronic and common geriatric symptom that severely compromises the living quality of elderly adults [5]. In addition to being the largest joints in the human body, the knee joints are frequently used in various daily activities. Hence, knee pain typically impairs a person’s movement. Knee osteoarthritis may be associated with repetitive external overload, which induces chronic intra-articular damage, leading to articular cartilage injury, bone sclerosis at the cartilage joints, formation of bone spurs and bone cysts, and thickening of the articular capsules and synovial bursas [6,7]. Currently, western medical interventions for knee osteoarthritis can be divided into conservative and invasive types. Conservative interventions include the use of medication, physiotherapy, and articular injection, whereas invasive interventions include arthroscopy, corrective osteotomy, and arthroplasty. Patients with severe late-stage joint deformation often require total knee arthroplasty (TKA) followed by postoperative rehabilitation to restore walking function in the lower limbs [8,9]. Knee osteoarthritis is a progressive joint illness in which the joints deteriorate slowly and [10-12] continuously. The goal of treatment is to reduce pain, improve the range of motion, enhance joint function, and elevate living quality [9]. TKA is effective for alleviating joint pain in patients with late-stage knee-joint disorders, restoring knee joint function, and elevating life quality. TKA has a high success rate for treating knee disorders, and according to the Taiwan Central Health Insurance Bureau, TKA-associated medical expenditure was nearly NT$2.63 billion in 2000, the second-highest hospitalization expenditure. Therefore, TKA is both critical treatment and common medical intervention for degenerative arthritis.
While western medicine remains the most prominent form of treatment currently in use, alternative medicine and traditional Chinese medicine (TCM) have also begun to play a more critical role in medical systems. For example, the pain-alleviating effect of acupuncture has been demonstrated as a potentially effective treatment. In 1997, the National Institutes of Health (NIH) in the United States began to recommend acupuncture for reducing drug dosage [13]. For alleviating knee pain, using acupuncture for improving local muscle relaxation and promoting blood and qi circulation [14,15]. Subsequently, increasingly more patients and physicians have chosen acupuncture to improve the life quality of patients. The National Health Insurance Research Database (NHIRD), which contains the medical records of enrollees in Taiwan’s National Health Insurance (NHI) program, is frequently used in research in Taiwan. Previous studies using the NHIRD have shown that TCM can reduce mortality in patients with chronic kidney disease, [16] and that acupuncture can decrease the reoccurrence of stroke [17]. In the present study, the NHIRD was used to sample patients with a diagnosed knee disorder who underwent knee arthroplasty and a retrospective cohort study was conducted for examining the clinical effectiveness of TCM interventions in alleviating knee joint pain.

Materials and Methods

Research methods

Data Sources: Data were obtained from the 2010 Longitudinal Health Insurance Database (LHID2010), which is maintained by the National Health Research Institutes. The medical records of 240,000 NHI beneficiaries for the 2001-2010 period were examined. The LHID2010 is a 10-year database containing data of randomly sampled beneficiaries of Taiwan’s National Health Insurance program who are representative of the parent population. The data files include ambulatory care expenditures by visits (CD), details of ambulatory care orders (OO), and details of inpatient orders (DO). Ambulatory care expenditures by visits (CD) comprises sex (ID_SEX), personal ID (ID), date of birth code (ID_BIR), hospital department (FUNC_TYPE), hospital visiting date (FUNC_DATE), international statistical classification of diseases (ACODE_ICD9), and drug usage frequency (DRUG_FRE).

Data Analysis: The cause of knee-joint pain differs among age groups. Clinically, knee joint pain in elderly adults is commonly associated with degenerative arthritis, crystal-induced inflammatory arthropathy (gout, pseudogout...), popliteal cyst (Baker's cyst) and autoimmune rheumatic disease [18,19]. Because the present study investigated knee joint pain, Diagnosis Codes 710-719 for arthropathies and related disorders were selected as the key screening criteria of ACODE_ICD9 in the CD files.

Body weight is a key factor determining the severity of knee joint pain in knee osteoarthritis [20,21]. To ensure that the severity of knee osteoarthritis was as similar as possible between the experimental and control groups, body weight should be included in the screening criteria; however, the LHID2010 does not contain such information. Nevertheless, higher body weight is associated with a higher BMI, which is associated with increased probability of diabetes and cardiovascular disease [22-25]. Therefore, patients without cardiovascular disease or diabetes according to the diagnosis code were included in the exclusion criteria of this study.

Experimental group inclusion criteria: Patients who had received arthroplasty (Order Code 64164B) were selected from the DD data file. These patients were screened for arthropathies and related disorders (Diagnosis Codes 710-719) and were included only if they were 45 years or older based on ACODE_ICD9 in the CD data file. Subsequently, the patients were rescreened to select those who had received a TCM intervention from the CD data file. The number, age, and sex of the patients were summarized. Finally, patients with incomplete data, those who underwent surgery before the initial arthroplasty diagnosis, those without diagnosed hypertension or diabetes (based on the diagnosis codes of other diseases), and those with repeated data were excluded.

Control group inclusion criteria: Patients who had received arthroplasty (Order Code 64164B) were selected from the DD data file. These patients were screened for arthropathies and related disorders (Diagnosis Codes 710-719) and were included only if they were 45 years or older based on ACODE_ICD9 in the CD data file. Subsequently, the patients were rescreened to select those who had received TCM intervention from the CD data file.
file. The number, age and sex of the patients were summarized. Finally, patients with incomplete data, those who underwent surgery before the initial arthropathy diagnosis, those without diagnosed hypertension or diabetes (based on the diagnosis codes of other diseases), and those with repeated data were excluded (Figure 1).

### Statistical methods

To calculate the postarthroplasty hazard ratio (HR) between those receiving both the TCM intervention and western medical intervention and those receiving western medical intervention alone, the Cox regression survival analysis was performed to determine whether the experimental and control groups demonstrated differences in sex, age, and the length of time between arthropathy diagnosis and arthroplasty.

NodeXL (http://nodexl.codeplex.com/), an open-source network analysis and visualization package for Microsoft Excel, is useful for analyzing social network relationships and identifying opinion leaders in specific virtual communities [26,27]. NodeXL was used in the present study to analyze the comorbidities in the experimental and control groups. In the LHID2010, each patient is assigned up to four diagnosis codes; however, because graphs generated using NodeXL have only two vertices on their edges, the diagnosis codes were transformed before processing. The first field was defined as follows: according to the four diagnosis codes, patients with hypertension alone were classified as Type 1; those with diabetes alone were classified as Type 2; and those with both hypertension and diabetes were classified as Type 3. For the second field, patients with diagnosed conditions other than hypertension and diabetes were included. Subsequently, NodeXL network analysis was performed using the transformed data to explore the network centrality. SPSS Version 19.0 was used for the data analysis with the α level set to 0.05; thus, results were considered statistically significant when p<0.05.

This study was approved by the institutional review board of the study hospital (IRB N: 141002).

### Results

From the LHID2010, this study obtained 870 patients satisfying the inclusion criteria. After the exclusion criteria were applied, the remaining 106 patients were divided into the experimental group (n=61) and control group (n=45) depending on whether they had received a TCM intervention.

The experimental group contained 17 men (28%) and 44 women (72%) (age mean, 69.65 ± 7.17 y) who had received treatment through both standard and TCM interventions. The average duration between their initial arthropathy diagnosis and arthroplasty was 2.97 years. The control group comprised 10 men (22%) and 35 women (78%) (age mean, 69.9 ± 8.32 y) who received a standard intervention only. The average duration between their initial arthropathy diagnosis and arthroplasty was 3.03 years (Table 1).

<table>
<thead>
<tr>
<th>Study Group(N=61)</th>
<th>Control Group(N=45)</th>
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<tbody>
<tr>
<td>Gender(n)</td>
<td>Male    Female</td>
</tr>
<tr>
<td></td>
<td>17(28%)  44(72%)</td>
</tr>
<tr>
<td>Age</td>
<td>69.65±7.17</td>
</tr>
<tr>
<td>Time to TKA(year)</td>
<td>2.97</td>
</tr>
</tbody>
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Regarding the hazard ratio for patients receiving arthroplasty to treat knee joint pain, the male: female ratio was 1.019:1.000 (95.0% CI, 0.648-1.604), and the ratio between the experimental and control groups was 1.044:1.000 (95.0% CI, 0.705-1.547) (Table 2).

![Fruchterman-Reingold graph for the comorbidities in the experimental group. Hypertension (square) and both hypertension and diabetes (circle) have centrality tendencies in the network.](https://example.com/fig2.png)

Fruchterman-Reingold graphs were generated using NodeXL. In the graph for the experimental group (Figure 2), the square indicates the patients with hypertension and the circle represents the patients with both diabetes and hypertension. Both the square and circle show a centrality tendency in the network. Regarding graph for the control group (Figure 3), only the square shows a centrality tendency. Therefore, the NodeXL revealed that hypertension exhibited centrality in the sample comorbidity network. In other words, patients with knee joint pain who received an arthroplasty were more likely to have hypertension as well.
Discussion

The NHIRD contains the medical records of all people living in Taiwan who are enrolled in the NHI program, as well as information on the alternative complementary therapies they have received. The data examined in this study were from the LHID2010, which contains medical claims data representative of the general population in Taiwan. The inclusion criteria were patients who underwent TKA, older than 45 years, and received a diagnosis for arthropathies. And the exclusion criteria were patients with incomplete data, those who underwent surgery before the initial arthropathy diagnosis, those without diagnosed with related comorbidities, and those with repeated data. There was big difference between the initial and final cases. That was because only 140 cases met our criteria only 140. Although researchers in Germany have already published clinical studies examining the effect of acupuncture on ameliorating knee joint pain [10,12,28] their studies did not explore whether acupuncture can delay the need for TKA. The present study used real-world pattern data as well as a long follow-up period to verify the efficacy of acupuncture on TKA. Nonetheless, one limitation of a study involving the use of database is that the level of severity of the disease could not be determined. Although high body weight is a key factor of the severity of knee joint pain in degenerative arthritis, [20,21] the LHID2010 does not contain any BMI-related information. However, because a high BMI is associated with an increased probability of diabetes and cardiovascular disease, [22,29] patients without cardiovascular disease or diabetes were excluded on the basis of the diagnosis codes of other related diseases to ensure that the experimental and control groups were comparable. Due to pain is a subjective symptom, and is not proportional to the disease severity. And because the decision to undergo an arthroplasty was determined by the physician and the patient, and no standard is available for determining whether an arthroplasty is necessary, predicting the severity was difficult and evaluations of disease severity might vary.

According to the theory of TCM, knee osteoarthritis is a disease resulting from invasion of wind, cold, and dampness. There are many methods about treatment with TCM of knee osteoarthritis, including acupuncture, moxibustion, Chinese herbs, herbal patch, qigong, massage therapy etc. It can increase Qi flowing and blood circulating after TCM treatment and can relieve knee pain and swelling inducing by osteoarthritis. The previous systematic review study searched for studies in PubMed that were performed between 1965 and 2013, and retrieved studies were subjected to reference screening. The most commonly used acupoints were ST35, ST36, ST40, SP6, SP9, SP10, GB34, GB39, K16, Ex-LE 2 and Ex-LE5; and the every time of treatment was about 20~30 minutes. Moreover, De Qi sensation, a feeling that indicates effective needling, was being enhanced [14]. Due to the data examined in this study from the LHID2010, one of limitations is that we could not know the acupoints and the treatment time in experimental group. But we could ensure the operator of acupuncture was TCM doctors, who had accepted the completed acupuncture training.

Western medical approaches for treating knee joint pain can be categorized as conservative and invasive, [8] the effectiveness of which may vary from person to person. Alternative therapies used in different countries and TCM have become crucial in medical systems worldwide [30]. In Taiwan, the preference for and usage rate of TCM are higher than those in many other countries [31] and there is clinical evidence showing that acupuncture is effective in alleviating various types of pain [10,12,28]. Therefore, a TCM intervention for ameliorating knee joint pain was investigated in the present study. In addition to age and sex, related comorbidities were also included in the inclusion criteria to ensure that the experimental and control groups show no significant difference in their profiles and level of disease severity. Nonetheless, the TCM interventions exhibited statistically nonsignificant effect on arthroplasty-related risks. This study inferred that the aforementioned results were attributed to patient lifestyle (e.g., job type and preferred physical activities) not being considered in the present study. In addition, many patients in Taiwan pay for acupuncture without insurance; consequently, the NHIRD does not contain information on such treatments. If these two factors were accounted for in the statistical analysis, the results might have differed. Accordingly, these two factors should be investigated in future studies.

The cause of knee joint pain differs among age groups. Clinically, knee joint pain in elderly adults is commonly associated with degenerative arthritis, crystal-induced inflammatory arthropathy (gout, pseudogout...), popliteal cyst (Baker’s cyst) and autoimmune rheumatic disease [18]. Therefore, initially, this study focused on diagnosis code ACODE ICD9 715.63 when investigating knee joint pain. However, using this diagnosis code yielded an extremely small sample size. Consequently, Code 715.63 was replaced with Codes 710-719 (arthropathies and related disorders) to expand the coverage. Nevertheless, this study found that clinical physicians typically preferred using diagnosis code 715.36 (osteoarthritis, localized, primary or...
secondary lower leg unspecified); therefore, when conducting database studies, researchers should investigate the routine clinical procedure and practice.

With technological advancements and the prevalence of the Internet, social network analysis has been adopted more frequently in recent studies. In contrast to conventional analysis methods, social network analysis considers each person or event as a node, between which a line is plotted. When the nodes are connected, a network structure is formed, which can more accurately reflect a social network of people and events in comparison with other methods. For example, a network structure of Facebook members could be built by connecting people according to their interpersonal relationships. Subsequently, the intersection demonstrating the highest level of centrality in the network can be used to infer the opinion leader of this group.

In recent years, social network analysis approaches have been used frequently in medical research [32,33]. For example, Yen et al. employed NodeXL to analyze the network of TCM prescriptions for treating chronic sinusitis; Chen et al. [34] employed NodeXL to analyze the network of TCM for treating dysmenorrhea [33]. In the present study, NodeXL analysis was applied in examining comorbidity, and hypertension was intuitively identified as the point of centrality for comorbidity on the Fruchterman-Reingold graph. NodeXL was selected for this study because it is easy to operate; moreover, this open-source software can be used with Microsoft Excel. NodeXL also revealed that hypertension exhibited the strongest clustering effect, which was inferred to be attributable to the associated increase in blood pressure reducing the degree of sensitivity to pain [35,36]. Therefore, knee joint pain might be more severe than it is in reality, thus increasing the probability of receiving arthroplasty.

Conclusions

Acupuncture can ameliorate knee pain; however, we found that TCM did not postpone the duration for patient with knee pain to undergo TKA. Most patients with TKA had the comorbidity of hypertension. We hope there are more related studies in the future.

Conflict of Interest

The authors have no conflict of interests.

References


