Experimental Design Approach for Chromatographic Determination of Ketorolac Tromethamine from Bulk Drug and Tablet Formulation

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Abstract

Experimental design was successfully employed for chromatographic determination of ketorolac tromethamine from bulk drug and tablet formulation. The effect of simultaneously varying the flow rate, temperature and concentration of methanol in mobile phase water (0.05% w/v, o-phosphoric acid) on the chromatographic responses was studied using Plackett-Burman design with the help of response surface methodology (RSM). From RSM optimum regions were selected to be +1, +1 and +1 for flow rate (0.7ml/min), temperature (25 °C) and concentration of methanol in mobile phase water (0.05% w/v, o-phosphoric acid) (70%, v/v), respectively. Linearity was observed in the range of 10-50µg/ml with r² value 0.9950. Obtained LOD and LOQ values were found to be 0.15 and 0.42µg/ml, respectively. Developed method was validated as per ICH guidelines and was successfully used for the analysis of tablet formulation.

Keywords: Experimental design; Plackett-Burman design; Response surface methodology; Ketorolac tromethamine

Abbreviations: NSAID: Nonsteroidal Anti-Inflammatory Drug; RSM: Response Surface Methodology; RSD: Relative Standard Deviation; LOD: Limit of detection; LOQ: Limit Of Quantitation; FDS: Forced Degradation Studies

Introduction

Figure 1: Graphical abstract Highlights:

- We developed RP-HPLC method for Ketorolac tromethamine.
- Plackett-Burman design was used to optimize the chromatographic system.
- Chromatographic responses were studies using RSM.
- Method was validated as per ICH guidelines.
Ketorolac tromethamine (Figure 1 & 2), chemically 2-amino-2-(hydroxymethyl)propane-1,3-diol;5-benzoyl-2,3-dihydro-1H-pyrrolizine-1-carboxylic acid is a non-steroidal anti-inflammatory drug (NSAID). It used alone or in combination with other drugs for the short-term (up to 5 days in adults), management of moderately severe acute pain that requires analgesia [1,2]. Reported methods [3-6] significantly lack proper experimental design, and validation and stability studies as per ICH guidelines.

Thus, the key objective of present work was

- To develop validated stability indicating HPLC method for the determination of ketorolac tromethamine by employing statistical Plackett-Burman design,
- To study the effect of experimental variables like flow rate of the mobile phase, temperature and changes in the composition of the mobile phase chromatographic separation parameters using response surface methodology, and
- To undertake the forced degradation studies to check the chemical behavior of the molecule.

A Plackett-Burman design is used when we want to screen a large number of factors to identify those that are related to the dependent variable of interest. Response surface methodology (RSM), a surface plotted in three dimensions, provides large information about variables- response relationship. This is a relatively economical method as it allows testing the chemical behavior of the molecule.

Experimental Design: Chromatographic conditions optimization process to achieve separation of drug with acceptable responses was carried out using Plackett-Burman design 2^3 trial. According to this design, total 8 trial batches were formed. All the batches were named as JM-1 to JM-8. For investigating the effect, each independent variable was studied at two levels, namely, “high” and “low”. These levels define the upper limit and lower limits of the range covered by each variable. The values of coded levels of independent variables used in the experiment are listed in Table 1.
Response surface methodology: The best method for the optimization of experimental conditions is response surface methodology (RSM). This process will not only determine the optimum conditions, but also give the information required to design a process. It is a scientific approach for establishing the optimum conditions. The correlation of these three variables and chromatographic responses i.e. retention time, peak area, theoretical plates and tailing factor was studied. The response surface for each considered response was plotted against two different variables using STATISTICA (Version 8.0.360.0 English, Stat Soft Inc., Tulsa, USA) software. The response surface for each considered response was approximated by second order polynomial regression model Eq. (1) [14].

\[ Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots (1) \]

Where, \( Y \) = Chromatographic response; \( \beta_0 \) = Constant (intercept); \( \beta_1 \) = Coefficient of \( X_1 \); \( \beta_2 \) = Coefficient of \( X_2 \); \( \beta_3 \) = Coefficient of \( X_3 \); \( X_1 \) = Flow rate of mobile phase, ml/min; \( X_2 \) = Column temperature, °C; \( X_3 \) = Concentration of methanol in mobile phase water (0.05% OPA), v/v.

Prediction profiling: When the results of an experiment are analyzed, the observed responses on the dependent variables were fitted to a separate prediction equation for each dependent variable (containing different coefficients but the same terms). Once these equations are constructed, predicted values for the dependent variables were computed at combination of levels of the predictor variables. The relationship between observed response values and predicted response values was studied by plotting the linear graph of observed response values against predicted response values calculated from respective regression models of each response separately.

Analysis of RSM plots and regression models: Targeted responses viz. retention time, peak area, theoretical plates, and tailing factor, were studied by one-way ANOVA-based factorial examination. An RSM computation for the current optimization was performed by using software STATISTICA version 8 (Statsoft, Inc., USA). The obtained data were fitted to the second order regression equation (Eq. 1), and competency of a fitted response was evaluated by ANOVA. By setting the statistical significance to \( p \leq 0.05 \), produced response surfaces (3D surface plots), and relationship plot between observed and predicted values were critically examined.

Assay of tablets: For assay, an equivalent weight of the tablet (10mg ketorolac tromethamine per tablet; Ketorol DT® mfd. by Dr. Reddy's Laboratories, Hyderabad, India) was transferred into a 100ml volumetric flask containing 30ml methanol, shaken for 30min and sonicated (Metrex Ultra Sonic) for 30min. Final volume was made up to 100ml mark with methanol. The solution was filtered through Whatman filter paper (0.45µ) and was analyzed for drug content. The drug content in sample solution was calculated from the regression equations of standard calibration graph.

Purity of peak: The peak purity of ketorolac tromethamine was assessed by comparing the spectra at peak start, peak apex and peak end positions at optimum conditions by injecting six replicates of standard solution and sample solution of equal concentration 50µg/ml separately (Figure 2).

Validation of method: Validation of developed method was carried out as per ICH guidelines [20].

Accuracy of method: Accuracy of the method was determined by performing recovery studies using standard addition method [21, 22]. Recovery study was performed by applying the method to preanalysed drug sample to which known amount of standard drug corresponding to 80 and 120% of label claim was added. At each level of the amount six determinations were performed and the results obtained were compared with expected results.

Table 1: Study of experimental variables by factorial design.

<table>
<thead>
<tr>
<th>Batch</th>
<th>Coded variables</th>
<th>Natural condition</th>
<th>tR</th>
<th>pA</th>
<th>tP</th>
<th>tF</th>
</tr>
</thead>
<tbody>
<tr>
<td>JM-1</td>
<td>+ + +</td>
<td>X1 X2 X3</td>
<td>7.71</td>
<td>7.74</td>
<td>3683.77</td>
<td>3728.3</td>
</tr>
<tr>
<td>JM-2</td>
<td>+ + -</td>
<td>0.7 25 70</td>
<td>8.71</td>
<td>8.64</td>
<td>3511.12</td>
<td>3466.6</td>
</tr>
<tr>
<td>JM-3</td>
<td>- - +</td>
<td>0.7 20 70</td>
<td>8.65</td>
<td>8.71</td>
<td>3072.11</td>
<td>3116.6</td>
</tr>
<tr>
<td>JM-4</td>
<td>- + -</td>
<td>0.5 20 70</td>
<td>9.31</td>
<td>9.37</td>
<td>3994.18</td>
<td>4038.7</td>
</tr>
<tr>
<td>JM-5</td>
<td>+ - +</td>
<td>0.7 20 70</td>
<td>8.81</td>
<td>8.82</td>
<td>3551.19</td>
<td>3595.7</td>
</tr>
<tr>
<td>JM-6</td>
<td>+ - -</td>
<td>0.5 20 70</td>
<td>8.9</td>
<td>8.93</td>
<td>3602.5</td>
<td>3646.6</td>
</tr>
<tr>
<td>JM-7</td>
<td>- + +</td>
<td>0.5 25 70</td>
<td>8.23</td>
<td>8.16</td>
<td>3530.09</td>
<td>3574.6</td>
</tr>
<tr>
<td>JM-8</td>
<td>+ - +</td>
<td>0.7 20 70</td>
<td>8.52</td>
<td>8.45</td>
<td>3992.23</td>
<td>3936.7</td>
</tr>
</tbody>
</table>

Where, \( X_1 \) = Flow rate, ml/min; \( X_2 \) = Column temperature, °C; \( X_3 \) = Concentration of methanol in mobile phase water (0.05% OPA), v/v; \( tR \) = Retention time, min; \( pA \) = Peak area; \( tP \) = Theoretical plates; \( tF \) = Tailing factor; Exp. = Experimental result; Pred. = Predicted result.
**Precision of method**: Precision of method was determined with respect to both repeatability and reproducibility. An amount of the pre-analyzed tablet powder equivalent to 100% of the label claim of ketorolac tromethamine was accurately weighed and assayed. System repeatability was determined by six replicate applications and six times measurement of a sample solution at the analytical concentration. The repeatability of sample application and measurement of peak area for active compound were expressed in terms of % RSD (relative standard deviation). Method repeatability was obtained from RSD value by repeating the assay three times in same day for intra-day precision. Inter-day precision was assessed by the assay of three sample sets on different days (inter-day precision). The intra-day and inter-day variation for determination of drug was carried out at three different concentration levels 20, 30 and 40µg/ml.

**Linearity and range**

Linearity of the method was studied by injecting (20µL) six concentrations of the drug prepared in the water in the range 10-50µg/ml into the HPLC system. The peak areas were plotted against the corresponding concentrations to obtain the calibration graphs.

**Limit of detection (LOD) and limit of quantitation (LOQ)**

A signal-to-noise ratio between 3:1 and 10:1 is generally considered acceptable for estimating the limit of detection and limit of quantitation, respectively [23]. LOD and LOQ were experimentally verified by diluting known concentrations of ketorolac tromethamine until the average responses were approximately 3 or 10 times the standard deviation of the responses for six replicate determinations.

**Forced degradation studies**

In order to determine stability of method (stability-indicating), pure sample of drug was stressed under a variety of conditions to perform forced degradation studies (FDS). A standard stock solution of drug (100µg/ml) was used in FDS to draw an indication of the stability indicating property and specificity of proposed method. In all degradation studies the average peak area of standard drug and degraded sample after application of six replicates were obtained.

**Oxidation degradation**: About 2ml of hydrogen peroxide (1% v/v) was transferred to 2ml of standard stock solution of drug, separately and the solution was kept at room temperature. After 30 minutes, resulting solution was diluted to attain concentration 50µg/ml, 20µl of solution was injected and chromatograms were recorded.

**Acid degradation**: About 2ml of hydrochloric acid (0.01 N) was transferred to 2ml of standard stock solution of drug, separately and the solution was kept at room temperature. After 30 minutes, resulting solution was diluted to attain concentration 50µg/ml, 20µl of solution was injected and chromatograms were recorded.

**Alkali degradation**: About 2ml of sodium hydroxide (0.01N) was transferred to 2ml of standard stock solution of drug, separately and the solution was kept at room temperature. After 30 minutes, resulting solution was diluted to attain concentration 50µg/ml, 20µl of solution was injected and chromatograms were recorded.

**Dry heat (thermal) degradation**: About 100mg of pure drug was kept in hot air oven at 80 ºC for 6 hrs. After heating, a concentration 50µg/ml was prepared using heated drug. 20µl of solution was injected and chromatograms were recorded.

**Results and Discussion**

**Interpretation of RSM plots and regression models**

All the batches were run using the concentration 50µg/ml selected from linearity data. Results obtained from all batches (JM-1 to JM-8) were analyzed by using STATISTICA (v8.0.36.0 English, Stat Soft Inc, Tulsa, USA). The effects and coefficients of regression models were measured by analysis of variance (ANOVA). The RSM plots were generated using same software and the adequacy of fitted model was tested by ANOVA [24,25]. The experimental plan and response are shown in Table 1. From RSM plots following interpretations are concluded.

**Retention time**

From RSM, it is clear that there is positive effect of all variables on retention time (Y1). From the results of failing factor varying experimental variables, following regression equation was obtained to predict the Y1.

\[
Y_1 = 13.665 - 2.500X_1 - 0.016X_2 - 0.050X_3 \ldots \ldots (2)
\]

As evident in eq. (2), among the studied variables, X3 was adjudged as statistically significant variable (p=0.01638) influencing the retention time. This could be attributed to an increase in polarity of mobile phase with the addition of methanol, resulting in rapid equilibrium between mobile phase and stationary phase [18]. Thus, retention time decreases with an increase in methanol concentration in mobile phase. Besides, a high negative regression coefficient of X3 is indicative of decrease in retention time with an increase in flow rate. Thus, we can decrease the retention time by increasing the flow rate. However, here, it is necessary to realize that an increase in flow rate may result in reduced peak resolution, and backpressures may be beyond the limits of column. Also, the negative regression coefficient

For X4 suggested decrease in retention time with an increase in column temperature. This could be attributed to the reduction of mobile phase viscosity rendering the analyte to elute faster as a result of high diffusion coefficient [17]. Response surface plot of retention time (tR) as a function of X4 and X1 is shown in Figure 3(a). The average retention time of different batches was varied from 7.71 to 9.31min (Table 1). Statistical data for linear model is given in Table 2.
From RSM, it is clear that there is negative effect of all variables on peak area ($Y_2$). From the results of $Y_2$ varying experimental variables, following regression equation was obtained to predict the $Y_2$:

$$Y_2 = 3393.099 - 622.38X_1 - 23.98X_2 - 10.558X_3 \ldots \ldots \ldots (3)$$

Equation (3) clearly indicated that among the studied variables flow rate ($X_1$) had statistically significant effect (p=0.03041) on Peak area. Eq. (3), it is clear that the peak area decreases with increase in experimental variables. Relative to temperature and % acetonitrile, flow rate has very high impact on peak area. Variable $X_2$ (temperature) also decrease the peak area insignificantly as compared to flow rate. Concentration of methanol in water (0.05% OPA) exerts least effect.

The decrease in peak area may be due to increased temperature leads to the lowering of density of mobile phase, thereby enhance the mass transfer between phases and hence
increase solubility of drug the in the mobile phase. Response surface plot of peak area (pA) as a function of X₁ and X₂ is shown in Figure 3(b). As shown in Table 1, the average peak area of different batches varied from 3619.83 to 3994.18. Statistical data for linear model is given in Table 2.

As the length of column (L), particle size (dₚ) and ratio of d_2/D₀ are constant, numbers of theoretical plates (N) establish proportional relationship with flow rate (i.e. theoret. plates α flow rate, N α V). Thus eq. (5) follows the Van Deemter’s principle [16]. Increase in % of acetonitrile (X₃) in mobile phase decreases the theoretical plates. Response surface plot of theoretical plates (tₚ) as a function of X₁ and X₃ is shown in Figure 3(c). The average theoretical plates of different batches were varied from 3072.11 to 3994.18 (Table 1). Statistical data for linear model is in Table 2.

**Theoretical plates**

To predict the theoretical plates following regression equation was obtained.

\[
Y_3 = 9172.095 + 156.975X_1 + 21.893X_2 - 17.939X_3 \ldots \ldots \ldots (4)
\]

As obtained Eq. (4), the RSM analysis clearly indicated that among the studied X₂ had statistically significant effect (p = 0.01216) on theoretical plates. The theoretical plates analysis revealed positive relationship with two experimental variables namely, X₁ and X₂. Nevertheless, as evidenced by high positive coefficient for X₁, flow rate is the major variable affecting theoretical plates (theor. plates α flow rate). This might be due to effect of Van Deemter’s principle which tells that number of theoretical plates (N) is function of height equivalent of a length of column and theoretical plate (H). The relationship between H, N and L is given by equation:

\[
N = \frac{L}{H}
\]

Where, H=Height equivalent of a theoretical plate, L=Length of column; and N=Theoretical plates. But, H is the function of eddy diffusion (A), longitudinal diffusion (B), resistance to mass transfer (C) and linear flow velocity of mobile phase (V). The Van Deemter’s equation is given bellow.

\[
H = A + B/V + CV
\]

Where, A=Eddy diffusion (proportional to particle size dₚ); B=Longitudinal diffusion (proportional to diffusion coefficients D_m); C=Resistance to mass transfer (proportional to d_2/D₀); V=Linear flow velocity.

**Tailing factor**

From RSM, it is clear that there is negative effect of all variables on tailing factor (Y₄). From the results of Y₄ varying experimental variables, following regression equation was obtained to predict the Y₄.

\[
Y_4 = 2.110 - 0.100X_1 - 0.019X_2 - 0.006X_3 \ldots \ldots \ldots (5)
\]

Eq. (5) indicate that the effect of all variables have very low effect on the tailing factor. Among the studied X₂ had statistically significant effect (p=0.01216) on Y₄. The equation indicates the tailing factor is decrease with increase in value of all variables. However, a higher negative coefficient for X₁ indicates the flow rate is a major factor affecting tailing factor. Usually, tailing factor is increases with flow rate. This causes increase in column back pressure due to rise in flow rate that causes the peak to becomes more non-Gaussian. But in this case, tailing is decreasing. This may be because of dominant effect of basic nature of drug as basic compounds do not causes higher tailing on silica [18]. Second reason for this low tailing is may be effect of steric hindrance of the access to silanols [26]. Once they are freely accessible, no peak distortions are encountered. Third reason for this low tailing may be due to the restricted dipole interactions between drug molecule and stationary phase resulting in decrease in tailing factor.
Table 3: Results of linearity and precision study (n=6).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Result</th>
<th>% RSD</th>
<th>Conc*</th>
<th>Intra-day</th>
<th>% RSD</th>
<th>Inter-day</th>
<th>% RSD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range (µg/ml)</td>
<td>Oct-50</td>
<td></td>
<td>20</td>
<td>101.75</td>
<td>1.06</td>
<td>98.93</td>
<td>1.2</td>
</tr>
<tr>
<td>r²</td>
<td>0.995</td>
<td>0.65</td>
<td>30</td>
<td>98.8</td>
<td>0.5</td>
<td>99.83</td>
<td>0.66</td>
</tr>
<tr>
<td>Slope</td>
<td>68.13</td>
<td>0.17</td>
<td>40</td>
<td>98.95</td>
<td>0.46</td>
<td>98.95</td>
<td>0.77</td>
</tr>
<tr>
<td>LOD (µg/ml)</td>
<td>0.15</td>
<td></td>
<td>Mean</td>
<td>99.33</td>
<td>0.67</td>
<td>99.23</td>
<td>0.87</td>
</tr>
<tr>
<td>LOQ (µg/ml)</td>
<td>0.42</td>
<td></td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Next to X₂, X₃ is second most important factor that affects tailing factor. Increase in temperature of column increases the polarity of mobile phase thereby causing rapid equilibrium between stationary phase and mobile phase. This causes drug to elute faster with decreasing affinity towards stationary phase without causing peak distortion. Response surface plot of tailing factor (tₚ) as a function of X₁ and X₃ is shown in Figure 3(d). The average tailing factors of different batches were varied from 1.20 to 1.36 (Table 1). Statistical data for linear model is given in Table 3.

![Response surface plot of tailing factor (tₚ) as a function of X₃ (%methanol in mobile phase) and X₁(temperature).](image)

![Graphs showing relationship between observed response versus predicted response values of a) retention time, b) peak area, c) theoretical plates, and d) tailing factor of ketorolac tromethamine.](image)
**Relationship between Observed versus predicted values**

The regression line for each response expresses the best prediction of the dependent variables i.e. retention time, peak area, theoretical plates and tailing factor given the independent variables. However, nature was perfectly predictable, and was substantial variation of the observed points around the fitted regression line (Figure 4 & 5).

**Figure 5:** HPLC chromatograms of degradation products of ketorolac tromethamine.

**Optimized set of chromatographic conditions**

From the RSM study, it is clear that the selected variable $X_1$, $X_2$ and $X_3$ are important for the regression model and their interactive effect has been observed on chromatographic responses. From RSM optimum regions were selected to be $+1$, $+1$ and $+1$ for flow rate (0.7ml/min), temperature (25 °C) and concentration of methanol in mobile phase water (0.05% OPA) (70%, v/v), respectively (Batch JM-1). This optimized set of conditions was further used for construction of calibration graph and method validation studies (Figure 6).

**Figure 6:** 3-D pie chart showing ketorolac tromethamine’s degradation under various stress conditions.
Validation of method

Accuracy of method: Accuracy was determined by performing recovery studies at two levels i.e. 80 and 120% of the Label claim* (mg/tablet). Table 4: Results of assay of tablet formulation and recovery study (n=6).

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Result</th>
<th>% Level</th>
<th>% Recovery</th>
<th>RSD</th>
<th>Condition</th>
<th>% Degraded</th>
</tr>
</thead>
<tbody>
<tr>
<td>Label claim* (mg/tablet)</td>
<td>10</td>
<td>80</td>
<td>98.77</td>
<td>1.06</td>
<td>Oxidation</td>
<td>2.49</td>
</tr>
<tr>
<td>% Estimated</td>
<td>101.54</td>
<td>120</td>
<td>100.27</td>
<td>0.41</td>
<td>Acid</td>
<td>77.58</td>
</tr>
<tr>
<td>% SD</td>
<td>0.1</td>
<td></td>
<td></td>
<td></td>
<td>Alkali</td>
<td>91.03</td>
</tr>
<tr>
<td>RSD</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Thermal</td>
<td>4.81</td>
</tr>
<tr>
<td>% Estimated</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Photo</td>
<td>9.62</td>
</tr>
</tbody>
</table>

Precision of method: The average intra-day and inter-day precision were found to be 0.67 and 0.87%, respectively (Table 4).

Linearity and range: Linearity and range study was performed by determining the drug concentration in series of standard working solutions of concentrations 10-50 µg/ml in triplicate. The RSD of slope was less than 2 (Table 3).

LOD and LOQ: LOD and LOQ of method were confirmed by diluting the drug solution of known concentrations until the average responses were approximately 3 or 10 times the standard deviation of the responses of the blank for six replicate determinations. The signal/noise ratios 3:1 and 10:1 were taken as LOD and LOQ, respectively. The LOD and LOQ values were found to be 0.15 µg/ml and 0.42 µg/ml, respectively (Table 3).

Purity of peak: In peak purity study of ketorolac tromethamine, good correlation (r=0.9991) was observed between standard and sample spectra. The average retention time for ketorolac tromethamine was found to be 7.71 ± 0.010 for six replicates.

Assay of tablets and recovery study: The percent drug content of ketorolac tromethamine in tablets was found to be 101.54 ± 0.10%. The mean recovery of drug from the tablet formulation was found to be 99.47% (%RSD±0.74) (Table 4).

Forced degradation studies: Forced degradation studies clearly indicate that the drug ketorolac tromethamine is susceptible to oxidation, acid, alkali and heat (thermal). The highest amount of drug degradation found in alkali (91.03%) followed by acid degradation (77.58%) and photo degradation (9.62%) dry heat (56.99%). Little degradation was observed in thermal degradation (4.81%) and oxidation (2.49%).

Conclusion

Plackett-Burman design was successfully employed for the chromatographic separation of ketorolac tromethamine from bulk drug and tablet formulation. From RSM, optimum set of conditions for chromatographic separation of drug was found to be flow rate 0.7 ml/min, temperature 25 °C, and concentration of methanol in water (0.05% OPA) 70% v/v. The studies indicate that temperature and concentration of methanol in water (0.05% OPA) are the two most important variables responsible for change in chromatographic responses. Results of forced degradation study indicate develop method is stability indicating.

The method was validated as per ICH guidelines and results were found statistically significant. As the method separates the drug from its degradation products, it can be employed as a stability indicating for quantitative analysis for determination of ketorolac tromethamine in bulk drug and tablet formulation, without any interference from the excipients and in the presence of its acidic, alkaline, and oxidative degradation products.

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2. SS.


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