



# Immunotherapy: The New Strategy for Advanced Hepatocellular Carcinoma Treatment?



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**Abbreviations:** HCC: Hepato-Cellular Carcinoma; AFP: Alpha-Feto-Protein; MAGE-A: Melanoma Antigen Gene A; NY-ESO-1: New York-Esophageal Squamous cell Carcinoma-1; DCs: Dendritic Cells; CIK: Cytokine-Induced Killer; TUMAPs: Tumor-Associated Peptides; PD-L 1: Programmed Death-Ligand 1; TTP: Time to Tumor Progression

## Introduction

Hepatocellular carcinoma (HCC) is one of the most common cancers worldwide. Despite its growing incidence, however, therapeutic options remain limited and median survival in advanced setting has been short (less than 12months). The current standard of care is the multikinase inhibitor sorafenib [1]. The recently published phase III study RESORCE showed that regorafenib improves overall survival in patients with HCC who had disease progression during first-line treatment with sorafenib [2].

Although hepatocellular carcinoma is not generally considered an “immunogenic” tumor, rationale for immunotherapy in patients with advanced HCC comes from a variety of observations. For example, Kojiro et al. [3] shows that patients with resected HCC with marked inflammatory cell infiltration (CD8+ and CD4+ T lymphocytes) have better prognosis [3]. Moreover, cancer testis antigens such as alpha-fetoprotein (AFP), melanoma antigen gene A (MAGE-A), and New York-esophageal squamous cell carcinoma-1 (NY-ESO-1) are expressed in HCC. Flecken et al. [4] showed that in patients with HCC who have spontaneous antigen-specific CD8+ T-cell responses have a better clinical outcome [4].

Despite the observations above, no significant progress had been made in this context until recently [5]. During the past ten years, several pilot trials tested the efficiency of cellular and non-cellular immunotherapy in this context. To date, only modest activity has been observed through vaccination with mature autologous Dendritic Cells (DCs) alone or pulsed ex vivo with tumor cell lysate or AFP in patients with advanced disease [6,7]. In 2015, Lee et al. [8], presented interesting activity in the adjuvant setting with injections of activated cytokine-induced

killer (CIK) cells [8]. In this study, 230 patients were randomised to be treated with activated autologous CIK cells after surgical resection, radiofrequency ablation or percutaneous ethanol injection versus no adjuvant therapy, leading to increased recurrence-free and overall survival (HR 0.63). Peptide based therapeutic cancer vaccines are also being studied in this context, without relevant efficacy [9,10]. A European phase I/II trial studying the role of HepaVac, a vaccine comprising multiple newly identified tumor-associated peptides (TUMAPs) naturally presented on the surface of primary HCC cells is waiting to open in autumn 2017.

For the second year in a row, immunotherapy hailed as the “clinical cancer advance of year 2016” by the American Society of Clinical Oncology based on notable progress in survival for patients with different advanced cancers previously considered intractable such as melanoma, lung and head and neck cancer based mainly on results of trials with checkpoint inhibitors. These are inhibitory monoclonal antibodies against cell surface proteins that down regulate T-cell activation. Inhibitory antibodies against Cytotoxic T lymphocyte-associated antigen 4 (CTLA-4) are the first to have reached clinical practice followed by monoclonal antibodies against Programmed death-ligand 1 (PD-L1).

Tremelimumab is a monoclonal antibody against a cytotoxic T lymphocyte antigen named CTLA-4 (CTL antigen 4). In a small study including only 20 patients, 15 mg/kg of tremelimumab IV every 90 days in patients with chronic hepatitis C showed 17.6% partial response rate in advanced HCC. Interestingly, although the patients received a suboptimal dose and there were also patients with Child Pugh B7 included, the treatment was well tolerated and there was a significant drop in the viral load [11].

PD-1 expression by tumor infiltrated lymphocytes (TILs) and PDL-1 expression by tumor cells is not well established in HCC and do not have a predictive nor prognostic value for the time being. Nivolumab is a monoclonal antibody against PD-L1 which was tested in patients with advanced HCC in a phase I/II study. Update data was presented in the 2017 ASCO Gastrointestinal Cancers Symposium. The overall response rate (ORR) was 20% in 214 patients treated in the dose expansion phase with a 9-month overall survival rate of 74% (CheckMate-040 cohort 2). Interestingly, responses were observed regardless of tumor PD-L1 expression, sorafenib-naïve and -treated patients and across different etiologies (HCV, HBV, alcohol etc). To date, adverse events were similar to profiles in other tumor types with most of the patients experiencing fatigue (17%) and pruritus (12%). Furthermore, Grade 3/4 ALAT and ASAT increase has been observed in about 6% each [12]. Clinical trials evaluating sorafenib vs nivolumab (NCT02576509) and nivolumab with or without ipilimumab vs sorafenib in first line setting are currently ongoing in advanced HCC (NCT01658878) as well as combination of other checkpoint inhibitors (NCT02966548), oncolytic virus (NCT02509507) and cancer vaccines.

Currently, combination of approaches of immunotherapy with other treatments, such as interventional radiology procedure and stereotactic radiotherapy are also being explored. Recently, Duffy et al. [13], presented a pilot trial with 32 patients with refractory HCC (Childs Pugh A/B7 and Barcelona Clinic Liver Cancer Stage B/C) treated with tremelimumab at two dose levels (3.5 and 10 mg/kg i.v.) every 4 weeks for 6 doses, followed by 3 months of infusions until progression or toxicity. On day 36 the patients underwent subtotal radiofrequency ablation or chemoablation. Median Time to Tumor Progression (TTP) was 7.4 months (95% CI 4.7 to 19.4 months) with a median overall survival of 12.3 months [13].

### Conclusion

These preliminary results are encouraging and fully support that immunotherapy regimens particularly immune checkpoint inhibitors will play an important role in HCC therapy in the near future. Furthermore, their toxicity profile is balanced by the manageable side effects as oncologists are aware of the specific guidelines that have been developed and broadly implemented during the past years. Ongoing clinical trials will help us not only to better clarify the optimal combination of immune therapies with other immunotherapy approaches, molecularly targeted agents and local ablative therapies in the treatment of HCC but also understand the optimal sequencing of these approaches.

Furthermore, we expect that translational research will help us to clarify the therapeutic role of targeted therapy and immunotherapy in order to select the most appropriate therapy for each patient.

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