



Research Article

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# Electromagnetic Therapy for Neurological and Neurodegenerative Diseases: I Peripheral Brain Stimulations



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#### **Abstract**

Electrical and magnetic field currents can produce functionally reversible stimulations. This property has been used for diagnostic and therapeutic neurosurgical procedures. The various known electrical and magnetic procedures are separately studied and compared relative to their types, effects, indications, contraindications, adverse events, and benefits. As of 2015, transcranial direct current stimulation (a Class III device) has not been approved for any use in the U.S. However, it is an approved treatment for major depressive disorder in the European Union, Australia, and Mexico. It induces changes in neurohormones, and neurotransmitters implicated in psychiatric disorders. Electroconvulsive (or electroshock) therapy is a psychiatric treatment that induces seizures in patients to provide relief from mental disorders. It is safe and effective for treatment-resistant major depressive disorder and catatonia, and prolonged or severe mania. However, its use remains controversial. Functional electrical stimulation, originally used to develop neuroprostheses, is a short-term intervention to help the central nervous system re-learn how to execute impaired functions, obviating the need for permanent prostheses. Common applications of the vagus nerve and transcutaneous vagus nerve stimulation are in epilepsy, migraines and fibromyalgia. Similar to magnetic resonance imaging, transcranial magnetic stimulation is applied for diagnostic and therapeutic potential in a wide variety of disease states in neurology and mental health, in the measurement of the activity and function of specific brain circuits in humans, in the measurement of the connection between the primary cortex and to evaluate damage related to past or progressive neurologic insult. It has potential applications to several other neurologic and psychiatric conditions, and to mapping the functional connectivity between the cerebellum and other areas of the brain. Under current investigation, magnetic seizure therapy has a number of purported applications including treatment-resistant depression, schizophrenia, obsessive-compulsive disorder, and psychiatry. On the other hand, pulsed electromagnetic field therapy has applications in delayed and non-union fractures, depression, and knee osteoarthritis. A synthesis of all of the above procedures is provided in terms of their indications, contraindications, benefits, and adverse effects [1-10].

**Keywords:** Cranial electrotherapy stimulation; Electroconvulsive (or electroshock) therapy; Functional electrical stimulation; Low-field magnetic stimulation; Magnetic seizure therapy; Neuromuscular electrical stimulation; Psychosurgery; Transcranial electrical stimulation; Transcranial magnetic stimulation; Transcranial random noise stimulation; Transcranial vagus nerve stimulation

Abbrevations: A: Ampere; AC: Alternating Current; AD: Alzheimer's Disease; ADL: Activities of Daily Living; ALS: Amyotrophic Lateral Sclerosis (aka Lou Gehring disease); APA: American Psychiatric Association; C: Coulomb; CE: Communaute Europeanne (Europeanne Community); CES: Cranial Electrotherapy Stimulation; CHF: Chronic Heart Failure; CT: Computerized Tomography; DC: Direct Current; ECT: Electroconvulsive Therapy; EEG (or EKG): Electroencephalography; EM: Electromagnetic (field); EST: Electroshock Therapy; FDA: (U.S.) Food & Drug Administration; FES: Functional Electrical Stimulation; Gpi: Globus Pallidus Pars Internus; FMRI: Functional MRI; HRSD: Hamilton Rating Scale for Depression; IPG: Implantable Pulse Generator; LFMS: Low-Field Magnetic Stimulation; MCI: Mild Cognitive Impairment; MDD: Major Depressive Disorder; MOI: Monoamine Oxidase Inhibitors; MRI: Magnetic Resonance Imaging; MS: Multiple Sclerosis; MST: Magnetic Seizure Therapy; NDD: Neurodegenerative Diseases; NICE: (U.K.) National Institute for Health and Clinical Excellence; NIH: (U.S.) National Institutes of Health; NMES: Neuromuscular Electrical Stimulation; OCD: Obsessive-Compulsive Disorder; PD: Parkinson's Disease; PNS: Peripheral Nervous System; PTSD: Post-Traumatic Stress Disorder; QOL: Quality Of Life; RCT: Randomized Clinical Trials; RMP: Resting Membrane Potential; rTMS: Repetitive Transcranial Magnetic Stimulation; rTVNS: Repetitive Transcranial Alternating Current Stimulation; TDCS: Transcranial Direct Current Stimulation; TMS: Transcranial Magnetic Stimulation; TRNS: Transcranial Random Noise Stimulation; TVNS: Transcutaneous Vagus Nerve Stimulation; V+/-: (Positive, Negative) Voltage; VIM: Ventral Intermediate Nucleus of the Thalamus; VNS: Vagus Nerve Stimulation

# **Drugs Cited**

Amantadine; Ambien; Camphor; Levodopa; Metrazol (Cardiazol); Prozac

#### Diseases/Disorders Listed

Alzheimer's disease; Asystole; Amyotrophic lateral sclerosis (Lou Gehring disease); Brain arteriovenous malformations; Catatonia; Cerebral palsy; Cognitive impairment; Developmental delay; Drop foot; Epilepsy; Glioblastoma; Heart arrhythmia; Hemiplegia; Huntington's chorea; Hydrocephalus; Mild cognitive impairment; Major depressive disorder; Mania; Multiple sclerosis; Neurodegenerative disease; Osteoarthritis; Parkinson's disease; Persistent vegetative state; Schizophrenia; Spinal cord injury; Stroke; Stroke-related disability, Tinnitus; Traumatic brain injury

#### Introduction

Electrical and magnetic field currents can produce functionally reversible stimulations. This property has been used for diagnostic and therapeutic neurosurgical procedures. The history of these developments is first briefly recounted beginning since the dawn of the therapeutic applications in the mid-16th century. For convenience, Electromagnetic (EM) stimulations have been separated into electrical and magnetic stimulations. The former include transcranial electrical stimulation (with either direct or alternating current), electroconvulsive (or electroshock) therapy that remains controversial, functional (or neuromuscular) electrical stimulation for paralysis due to injury, and vagus nerve stimulation and its transcutaneous variation. The latter include transcranial magnetic stimulation, magnetic seizure therapy, and pulsed electromagnetic field therapy (or low-field magnetic stimulation, or tumor treating fields). These several modalities are compared in their types, effects, indications, contraindications, adverse events, and benefits [11-45].

# A Brief History of Electromagnetic Brain Stimulations

The history of electromagnetic brain stimulations is over three centuries old. Its major steps and hallmarks are summarized below:

Mid-16<sup>th</sup> century: Agents to induce seizures are used to treat psychiatric conditions.

1744: Dawn of electricity's therapeutic use (as documented in the first issue of Electricity and Medicine).

1755: Treatment and cure of hysterical blindness is documented. (Benjamin Franklin wrote that an electrostatic machine cured a woman of hysterical fits.)

Mid-18<sup>th</sup> century: Luigi Galvani (1737-1798) discovers that nerves and muscles are electrically excitable, laying the foundations for the field of electrophysiology.

1785: Therapeutic use of seizure induction is documented in the London Medical & Surgical Journal.

Late-18<sup>th</sup> century: Giovanni Aldini (nephew of Galvani), Alessandro Volta, and others studied low- intensity, galvanic (electrical) currents in humans and animals. (Aldini had experimented with galvanic head current upon himself as early as 1794).

1800s: Michael Faraday discovers that an electrical current had a corresponding magnetic field and that changing one could induce its counterpart.

1801-4: Giovanni Aldini reported the successful treatment of patients suffering from mental disorders and melancholia using direct low-intensity currents.

Early to mid-19<sup>th</sup> century: Electrical brain stimulation is pioneered by Luigi Rolando (1773-1831) and Pierre Flourens (1794-1867) for the study of the brain localization of function.

1841: Early claims that electricity might aid bone healing.

Mid-19<sup>th</sup> century: Eduard Hitzig (1838-1907), Gustav Fritsch (1838-1927), David Ferrier (1842-1928) and Friedrich Goltz (1834-1902) stimulate the surface of the cerebral cortex to investigate the motor complex in animals.

 $\,$  Mid-19  $^{\rm th}$  century: Electroshock therapy-type treatments were notable in British asylums.

Late  $19^{th}$  and early  $20^{th}$  century: Robert Bartholow (1831-1904) and Fedor Krause (1857-1937) electrically stimulate the human brain cortex.

Early 20<sup>th</sup>-century: Work continues for the direct stimulation of the human brain with electricity and Victor Horsley (1857-1916) improves the invention of the stereotactic method.

1930: Cerletti and Bini's electroconvulsive therapy is widely used for the treatment of mental illness and, ultimately, overused as it began to be seen as a panacea, leading to a backlash in the 1970s.

Early-to mid- $20^{\text{th}}$  century: Walter Rudolf Hess (1881-1973), Jose Delgado (1915-2011), and others develop chronic electrode implants to be inserted deep into the brain of cats and monkeys.

1934: Convulsive therapy is introduced by the Hungarian neuropsychiatrist Ladislas J. Medunawho, believing mistakenly that schizophrenia and epilepsy were antagonistic disorders. He induced seizures first with camphor and then metrazol (cardiazol).

1937: The first international meeting on schizophrenia and convulsive therapy is held in Switzerland by the Swiss psychiatrist Max Müller. The proceedings were published in the American Journal of Psychiatry and, within three years, cardiazol convulsive therapy is being used worldwide.

1938: Italian Professor of neuropsychiatry Ugo Cerletti (who had been using electric shocks to produce seizures in animal

experiments) and his colleague Lucio Bini use electricity as a substitute for metrazol in convulsive therapy and experiment for the first time on a person.

1938: First electroconvulsive (electroshock) therapy is conducted.

1940: Electroconvulsive therapy is introduced in England, the U.S., Germany, and Austria.

1940s: Electroconvulsive (electroshock) therapy becomes popular in the U.S.

1940s-1950s: The use of electroconvulsive therapy becomes widespread.

Mid-20<sup>th</sup> century: Utilizing electrode implantation by the electrostatic method, Wilder Penfield (1891- 1976), Robert Galbraith Heath, Jose Delgado, and their colleagues use extensively electrical stimulation of the brain cortex in awake patients to investigate the motor and sensory homunculus (this is the representation of the body in the brain cortex according to the distribution of motor and sensory territories.) Note that to a few exceptions, emotional reaction in humans could not be elicited by either observing spontaneous epilepsy or electrically stimulating the surface of the cerebral cortex.

The 1950s-1960s: Marked decline in the use of electroconvulsive therapy due to the steady growth in the use of antidepressants with negative depictions of electroconvulsive therapy in the mass media.

The 1960s: DJ Albert proves that stimulation could affect brain function by changing cortical excitability. He also discovers that positive and negative stimulation had different effects on the cortical excitability.

Around 1967: Functional electrical stimulation was first used to treat foot drop by stimulating the peroneal nerve during gait. (A switch located in the heel-end of a user's shoe would activate a stimulator worn by the user.)

The 1970s: Publication of the first American Psychiatric Association's Task Force Report on Electroconvulsive Therapy" (to be followed by further reports in 1990 and 2001). The report endorses the use of electroconvulsive therapy in the treatment of depression. Also, Bassett et al. introduce a new technique employing a very specific biphasic low-frequency signal to be applied for non-union/delayed fractures.

1976: Blatchley demonstrates the effectiveness of his constant current, brief pulse device electroconvulsive therapy, which led to a reduction in cognitive side effects.

1977: Carnstam et al. show that functional electrical stimulation can treat foot drop in people with multiple sclerosis by generating strength increases through using peroneal stimulation.

1980: Merton and Morton successfully use transcranial electrical stimulation to stimulate the motor cortex.

The 1980s: Prozac is introduced to treat depression, anxiety, and insomnia.

1985: Anthony T. Barker explores the use of magnetic fields to alter electrical signaling within the brain, developing the first stable transcranial magnetic stimulation device. Also, the (U.S.) National Institute of Mental Health of the National Institutes of Health convenes a consensus development conference on electroconvulsive therapy and concludes that while electroconvulsive therapy was the most controversial treatment in psychiatry and had significant side-effects, [it] was effective for a narrow range of severe psychiatric disorders.

The 1990s: Ambien is introduced to treat depression, anxiety, and insomnia.

1994: Kralj, Graupe develop a functional electrical stimulation system (the Parastep) to enable spinal cord injury people to stand and walk.

2001: The American Psychiatric Association releases its latest Task Force Report that emphasized the importance of informed consent and the expanded role that the procedure has in modern medicine.

2004: The (U.S.) Food & Drug Administration (FDA) approves a pulsed electromagnetic field system as an adjunct to cervical fusion surgery in patients at high risk for non-fusion.

Around 2005: The combination of pharmaceutical brands becoming generic and Internet advertising cause cranial electrotherapy stimulation devices to gain popularity [46-80].

2005: The FDA approves a vagus nerve stimulation device for treatment-resistant major depressive disorder.

2008: The FDA authorizes the use of repetitive transcranial magnetic stimulation for the treatment of depression that has not improved with other measures. Several deep transcranial magnetic stimulation devices have received FDA clearance for use in adults with treatment-resistant major depressive disorders.

2009: Nexstim obtains FDA clearance for the assessment of the primary motor cortex for neurological planning.

2011: Nexstim obtains FDA clearance for neurosurgical planning. Also, cranial electrotherapy stimulation devices receive media attention from the Wall Street Journal. Further, the FDA's Neurological Devices Advisory Panel recommends that FDA maintain electroconvulsive therapy devices in the Class III category for high-risk devices, except for patients suffering from catatonia, major depressive disorder, and bipolar disorder.

2013: Dwyer uses electrical stimulation in the lumbosacral region. Also, the FDA approves the use of single-pulse transcranial magnetic stimulation for the treatment of migraines.

2014: The FDA determines that there is sufficient information to establish general and special controls to provide a reasonable assurance of safety and effectiveness for cranial electrotherapy stimulation devices.

2015: The FDA approves a vagus nerve stimulation device for treatment-resistant major depressive disorder.

2017-18: The FDA approves gamma Core, a handheld noninvasive vagus nerve stimulator made by Electro Core LLC, for episodic cluster headaches and migraine pain.

2018: The FDA authorizes the use of transcranial magnetic stimulation in the treatment of obsessive- c o m p u l s i v e disorder.

2019: The FDA clears the wearable Recovery Rx PEMF Device for adjunctive treatment of postoperative pain.

Distilled from this past history, I review and analyze below the several types of electromagnetic stimulations.

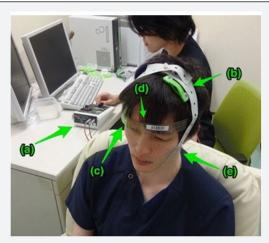
# **Electrical Stimulations and their Therapeutic Applications**

# Transcranial direct current stimulation

Transcranial direct current stimulation TDCS is a form of neuromodulation that uses constant Direct Current (DC) of low intensity delivered through electrodes positioned on the head. When the electrodes are placed in a region of interest, the current induces intracerebral current flow. This current flow then either increases or decreases the neuronal excitability in the specific area being stimulated depending on the type of stimulation used. There are three different types of stimulation: anodal, cathodal, and sham. The anodal stimulation (positive voltage: V+) is a positive stimulation that increases the neuronal excitability of the area being stimulated; cathodal (V-) stimulation is the opposite, and sham stimulation is a control. This change of neuronal excitability leads to alteration of brain function, which can be used in various therapies as well as to provide more information about the functioning of the human brain [81-100].

TDCS was originally developed to help patients with brain injuries or presenting psychiatric conditions like Major Depressive Disorder (MDD). It was considered a safe and effective treatment for depression. It was contraindicated for people susceptible to seizures such as epileptic patients. Short-term adverse effects and contraindications of the procedure include skin irritation, a phosphene at the start of stimulation (this is a brief flash of light if the electrode is placed near the eye), nausea (when the electrodes are placed above the mastoid for stimulation of the vestibular system), headache, dizziness, and itching. Long-term adverse effects are unknown. In cathodal stimulation, animal

(rat) experiments designed to determine the current density at which overt brain damage occurs showed that a current density of 142.9 [A/m<sup>2</sup>] (Ampere/square meter) delivering a charge density of 52,400 [C/m<sup>2</sup>] (Coulomb/square meter), or higher, caused a brain lesion. This dose is over two orders of magnitude higher than the dose in protocols for human patients. Interestingly, TDCS can achieve cortical changes even after the stimulation has ended depending on the intensity (strength) and the duration of the stimulation. The effects increase with the increase on either/ or both of these two factors. Stimulation changes brain function either by causing the neuron's Resting Membrane Potential (RMP) to depolarize or hyperpolarize. In positive (anodal) stimulation, the current causes a depolarization of the RMP, increasing neuronal excitability and allowing for more spontaneous cell firing. By contrast, in negative (cathodal) stimulation, the current causes a hyperpolarization of the RMP, decreasing neuron excitability. Using multiple smaller sized gel electrodes rather than two large ones allows greater and longer-lasting motor cortex excitability [101-120].



Source: Yukoi and Sumiyoshi (2015), National Center of Neurology & Psychiatry

(a) TtDCS Device; (b) 35 cm2-Size Anodal Electrode; (c) 35 cm2-Cathodal Electrode;

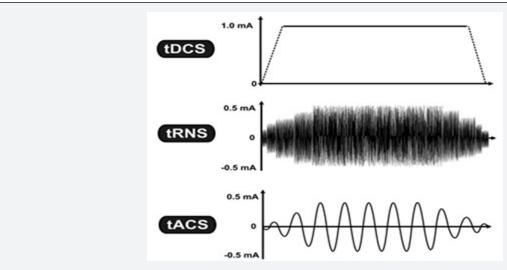
(d) Head Strap; (e) Rubber Band.

Figure 1: Illustrating the administration of transcranial direct electrical current stimulation.

Cathodal stimulation can treat psychiatric disorders caused by the hyperactivity of an area of the brain. Sham stimulation is used as a control in the experiments. It emits a brief current but then remains off for the remainder of the stimulation time. With sham stimulation, the person receiving the TDCS does not know that he/she is not receiving prolonged stimulation. By comparing the results in subjects exposed to sham stimulation with the results of subjects exposed to anodal (positive) or cathodal (negative) stimulation, researchers can determine how much of an effect is caused by the current stimulation, rather than by the placebo effect. Figure 1 illustrates the administration of TDCS. The anodal and cathodal electrodes are respectively put on the FS and supraorbital regions. Figure 2, where the vertical axis

represents the current intensity in [mA] (milli Amperes) and the horizontal axis illustrates the time-course, shows that TDCS is but a specific subgroup of non-invasive brain stimulation techniques

that includes Transcranial Random Noise Stimulation (TRNS) and Transcranial Alternating Current Stimulation (TACS), as further discussed in the next section[121-140].



Source: Salote, Turi, Paulus, and Antal (2013).

TDCS: Transcranial Direct Current Stimulation; TRNS: Transcranial Random Noise Stimulation; TACS: Transcranial Alternating Current Stimulation

Figure 2: Showing transcranial direct current stimulation (TDCS) as a subgroup of transcranial stimulation techniques that also include transcranial random noise Stimulation (TRNS) and Transcranial Alternating Current Stimulation (TACS).

# Regarding the applicability of the procedure, results of randomized clinical trials (RCT) indicate:

- a. Amelioration of memory deficits in Parkinson's (PD) and Alzheimer's Disease (AD), schizophrenia, neuropathic pain after spinal cord injury, non-neuropathic pain: good evidence.
- b. Cognitive enhancement in healthy people: no statistically conclusive evidence.
- c. Improvement in Activities of Daily Living (ADL) in PD: evidence of very low to moderate quality.
- d. Improvements of fibromyalgia, depression, and craving: level B evidence (probable efficacy).
- e. Neurophysiological outcome: little-to-no statistically reliable impact.
- f. Alleviation of symptoms of Major Depressive Disorders (MDD): inconclusive evidence.
- g. Schizophrenia symptoms improvement: variable evidence.
- h. Pain alleviation: low-quality research, cannot be used as a basis to recommend the use of TDCS to treat pain.
- i. Chronic pain following spinal cord injury: high-quality research showing TDCS is ineffective.
- j. Stroke: TDCS is not effective for improving upper limb function after stroke.

- k. Post-stroke aphasia no improvement from combining TDCS with conventional treatment.
  - l. Vision deficits following stroke TDCS may be effective.
- m. Various psychiatric disorders (depression, reverse cognitive deficits, improvement of focus and concentration): inconclusive evidence from the studies conducted.

#### Neuroscience research aims to

- a. link specific brain regions to specific cognitive tasks or psychological phenomena and
- b. to study the functional connectivity of the cerebellum to other areas of the brain. The cerebellum has been a focus of research, due to its high concentration of neurons, its location immediately below the skull, and its multiple reciprocal anatomical connections to motor and associative parts of the brain. Most such studies focus on the impact of cerebellar TDCS on motor, cognitive, and affective functions in healthy and patient populations.

As of 2015, TDCS has not been approved for any use in the U.S. However, it is an approved treatment for MDD in the EU, Australia, and Mexico. Variants related to TDCS include transcranial alternating current stimulation (TACS) and Transcranial Random Noise Stimulation (TRNS), a group of technologies commonly referred to as Transcranial Electrical Stimulations (TES) [141-160].

# Transcranial alternating current electrical stimulation

Also known as cranial-electrostimulation and transcranial electrotherapy, Transcranial Alternating Current Stimulation (TACS) is a form of neurostimulation that delivers a small, pulsed, alternating current via electrodes in the head. It was initially studied for insomnia and called electro sleep therapy. It is also used for treating headaches, neuromusculoskeletal pain, fibromyalgia, degenerative joint pain, smoking cessation. and opiate withdrawal. There is modest evidence for benefits in anxiety and depression (although it is not sufficient for use in acute depression) but little evidence of safety and effectiveness for many of the other conditions. Despite its long history, the underlying principles and mechanisms of TACS are still unclear. In practice, electrodes are placed on the ear lobes, maxilla-occipital junction, and mastoid processes or temples to stimulate the thalamic area and the cortical and subcortical areas. TACS treatments induce changes in neurohormones and neurotransmitters implicated in psychiatric disorders: substantial increases in beta-endorphins, adrenocorticotrophic hormone, and serotonin; moderate increases in melatonin and norepinephrine; modest or unquantified increases in cholinesterase, gamma-aminobutyric acid, and dehydroepiandrosterone; and moderate reductions in cortisol. In the U.S., the TACS technology is classified by the Food & Drug Administration (FDA) as a Class III medical device that must be dispensed by, or on the order of, a healthcare practitioner (physician, psychiatrist, nurse practitioner, psychologist, physician assistant, or occupational therapist) having an appropriate electrotherapy license. There are eleven TACS devices cleared for

marketing in the US.

## Electroconvulsive (or electroshock) therapy

Electroconvulsive Therapy (ECT), formerly known as Electroshock Therapy (EST), is a psychiatric treatment in which seizures are electrically induced in patients to provide relief from mental disorders. Short-term, it works via an anticonvulsant effect mostly in the frontal lobes and longer-term via neurotrophic effects primarily in the medial temporal lobe. Administered under anesthesia with a muscle relaxant, the procedure is safe and effective for treatment-resistant major depressive disorder, treatment-resistant catatonia, prolonged or severe mania, and in treatments where there is a need for rapid, definitive response because of the severity of a psychiatric or medical condition, e.g., when illness is characterized by stupor, marked psychomotor retardation, depressive delusions, hallucinations or life-threatening physical exhaustion associated with mania). Treatment involves multiple administrations, typically given two or three times per week until the patient is no longer suffering symptoms. In its application, ECT differs in three different ways accompanied by significantly different symptom remission and adverse side effects.

- a. Electrode placement (unilateral in which the current is passed through one brain hemisphere; more efficacious bilateral for both hemispheres but greater risk of memory loss),
  - b. Frequency of treatments, and
  - c. Electrical waveform of the stimulus.



Figure 3: Pictorial illustrating electroconvulsive therapy. source: bruce blaus.

Drug therapy is usually continued after treatment and some patients receive maintenance ECT. The schematic of Figure 3 illustrates the electroconvulsive therapy. ECT is effective in about 50% of people with treatment-resistant major depressive disorder (unipolar or bipolar), half of whom relapse within 12 months. The most common adverse effects are confusion and transient memory loss, and muscle soreness invariably due to the administration of muscle relaxants. General physical risks are

similar to those of brief general anesthesia rather than caused by muscle activity. The death rate during ECT is around 4 per 100,000 procedures. Precautions should, however, be taken for patients who have unstable or severe cardiovascular conditions or aneurysms, have suffered a recent stroke, have increased intracranial pressure (for instance, due to a solid brain tumor), are afflicted by severe pulmonary conditions, or are generally at high-risk for receiving anesthesia. In adolescents, ECT is highly

efficient for several psychiatric disorders, with few and relatively benign adverse effects.

## Common applications include more specifically

**Major depressive disorder:** ECT is generally used only when other treatments have failed or in emergencies such as imminent suicide. It has also been used in selected cases of depression occurring in the setting of multiple sclerosis (MS), Parkinson's Disease (PD), Huntington's chorea, developmental delay, brain arteriovenous malformations, and hydrocephalus.

Unipolar and bipolar depression patients respond equally well to ECT whereas they respond differently to other medical treatments. However, there is little agreement on the most appropriate follow-up. Thus, without antidepressants, 74% of people relapse; with antidepressants, about 50% relapse within 12 months, and about 37% relapse within the first 6 months. In terms of efficacy, ECT is superior to stimulation, placebo, antidepressants, tricyclics, and Monoamine Oxidase Inhibitors (MOI). Compared with transcranial magnetic stimulation (TMS), ECT relieves depression about twice as well, reducing the score on the Hamilton Rating Scale for Depression (HRSD) by about 15 points, while TMS reduced it by only 9 points.

#### Catatonia

Generally, ECT is a first-line treatment for severe or lifethreatening catatonia but second line for unresponsive catatonia. Notwithstanding the absence of randomized clinical trials for efficacy, ECT is generally acknowledged to be excellent. Unfortunately, there is little published evidence in the case of added autism spectrum disorders.

# Mania

While ECT is used to treat people, who have severe or prolonged mania, the (UK) National Institute for Healthcare and Clinical Excellence (NICE) recommends it only in life-threatening situations, when other treatments have failed, and as a second-line treatment for bipolar mania.

#### Schizophrenia

While widely used worldwide in the treatment of schizophrenia, in North America and Western Europe, ECT is invariably used only in treatment-resistant illness when there is little response to antipsychotics alone. ECT is useful in severe exacerbations of catatonic schizophrenia, whether excited or stuporous.

# **Cognitive impairment**

After ECT, the following may sometimes be noticed: cognitive impairment, incomplete recovery from retrograde amnesia (for events occurring before the treatment) and anterograde amnesia (for events occurring after the treatment), and persistent or permanent memory loss and confusion. The memory losses are minimized with the use of brief pulse currents.

#### Effects on brain structure

Notwithstanding controversy on the subject, the American Psychiatric Association (APA) has concluded that there is no evidence that ECT causes structural brain damage.

### Effects in pregnancy

If steps are taken to decrease potential risks (pelvic examination, discontinuation of non-essential anticholinergic medication, uterine tocodynamometry, intravenous hydration, and administration of a nonparticulate antacid), ECT is generally accepted to be relatively safe during all trimesters of pregnancy, particularly when compared to pharmacological treatments. In many instances of active mood disorder, the risks of untreated symptoms may outweigh the risks of ECT.

#### Effects on the heart

ECT can cause a lack of blood flow and oxygen to the heart, heart arrhythmia, and "persistent asystole" (of two or more seconds in just under 50% of patients) but, in all such cases, the heartbeat returned without any intervention. Although heart failure is exceedingly rare as a complication, it can occur, and deaths during ECT are usually due to cardiovascular complications. within the psychiatric and wider medical community, surveys of public opinion, testimonies of former patients, legal restrictions on the use of ECT, disputes as to its efficacy, ethics, and adverse effects indicate that the use of ECT remains controversial.

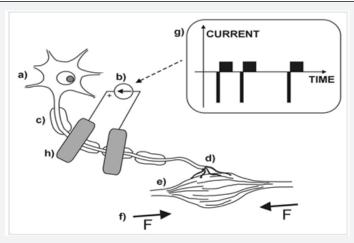
# Functional (or neuromuscular) electrical stimulation

Functional Electrical Stimulation (FES) or Neuromuscular Electrical Stimulation (NMES) uses low-energy electrical pulses to artificially generate body movements in individuals paralyzed due to injury to their Central Nervous System (CNS). It generates muscle contraction in otherwise paralyzed limbs, producing functions such as grasping, walking, bladder voiding, and standing. The technology was originally used to develop neuroprostheses as permanent substitutes for impaired functions in individuals with spinal cord injury (SCI), head injury, stroke, other neurological disorders, and therapies to restore voluntary function. It is a short-term intervention to help the person's CNS re-learn how to execute impaired functions, obviating the need for permanent prostheses.

FES stimulates neurons, nerves, and denervated muscles whose peripheral nerves have been severed or damaged but mostly the junctions between the nerves and muscles. The electrical charge can stimulate both motor nerves (efferent nerves descending from the CNS to muscles) and sensory nerves (afferent nerves ascending from sensory organs to the CNS). The electrical charge can stimulate both motor and sensory nerves. When a nerve is stimulated, a localized depolarization of the cell wall occurs resulting in an action potential that propagates toward both ends of the axon. Typically, one "wave" of action potential will propagate along the axon towards the muscle (orthodromic propagation) and, concurrently, the other wave

of action potential will propagate towards the cell body in the CNS (antidromic propagation). Typically, FES is concerned with

orthodromic stimulation and uses it to generate coordinated muscle contractions.



Source: Milos R, Propovic (a) Cell Nucleus Is Responsible for Synthesizing Input From Dendrites and Deciding Whether or not to Generate Signals. (b) A functional Electrical Stimulation System Injects Electrical Current into the Cell. (c)The Intact but Dormant Axon Receives the stimulus and propagates an Action Potential to. (d) Neuromuscular Junction. (e) The Corresponding Muscle Fibers Contract And Generate Muscle Force. (f) Muscle Force. (g) Train of Negative Pulses Produced. (h) Depolarization Occurs where Negative Current Enters the Axon at the Active Electrode Indicated.

Figure 4: Schematic representation of functional electrical stimulation

Nerves can be stimulated using either surface (transcutaneous) or subcutaneous (percutaneous or implanted) electrodes. The surface electrodes are placed on the skin surface above the nerve or muscle that needs to be activated. They are noninvasive, easy to apply, and generally inexpensive. A major limitation of the transcutaneous electrical stimulation is that some nerves are too profound to be stimulated using surface electrodes. This limitation can be partly addressed by using arrays of electrodes, which employ several electrical contacts to increase selectivity. One of the drawbacks of percutaneous electrodes is that they are prone to infection, requiring special care. Figure 4 illustrates motor neuron stimulation in FES. Compared to surface stimulation electrodes, implanted and percutaneous electrodes potentially have higher stimulation selectivity. The drawback of the implanted electrodes is that they require an invasive surgical procedure to install and there exists a possibility of infection following implantation.

#### Common applications include

# Cerebral palsy

FES has led to significant orthotic and training effects in children with unilateral spastic cerebral palsy with improvements in muscle mass and strength, gastrocnemius spasticity, passive range of motion, upper extremity function, walking speed, positioning of the foot, ankle kinematics, community mobility, and balance skills.

## **Drop foot**

Drop foot is a common symptom in hemiplegia. It is characterized by a lack of dorsiflexion during the swing phase of gait, resulting in short, shuffling strides. FES can effectively compensate for this condition during the swing phase of the gait. Several drop foot stimulators use surface and implanted FES technologies. They have been used successfully with various patient populations, such as spinal cord injury, stroke, and multiple sclerosis. The (UK) NICE has issued the following guideline on the treatment of drop foot of central neurological origin. current evidence on the safety and efficacy (in terms of improving gait) of Functional Electrical Stimulation (FES) for drop foot of central neurological origin appears adequate to support the use of this procedure provided that normal arrangements are in place for clinical governance, consent and audit.

# Multiple sclerosis

FES is useful for treating drop foot in people with multiple sclerosis generating strength increases through peroneal stimulation to show an orthotic effect for walking speed.

## Spinal cord injury

Spinal cord injuries (SCI) interfere with electrical signals between the brain and the muscles, resulting in paralysis below the level of injury. Restoration of limb function, regulation of organ function, pain treatment, pressure, and sore prevention are the main applications of FES. Examples include the use of neuroprostheses to allow paraplegics to walk and stand, restore hand grasp function in quadriplegics, restore bowel and bladder function, increase muscle mass and fiber diameter, improve the ultrastructural organization of contractile material, increase force output during electrical stimulation, and perform FES-assisted stand-up exercises. Prime examples are the Kralj. FES system (the Parastep) that enables spinal cord injury people to stand and walk

with some exceeding one mile per walk and the Complex Motion neuroprosthesis for exercise and as an alternative to wheelchair mobility.

# Stroke and upper limb recovery

In the acute stage of stroke recovery, cyclic electrical stimulation increases the isometric strength of wrist extensors. Patients who will elicit benefits must be highly motivated to follow through with treatment. Hemiplegic patients following a stroke commonly experience shoulder pain and subluxation both of which will interfere with the rehabilitation process. Over time (24 months or more), FES is effective for the management of pain, reduction of shoulder subluxation, and acceleration of the degree and rate of motor recovery. Hemiparetic stroke patients who are impacted by denervation, muscular atrophy, and spasticity typically experience an abnormal gait pattern due to muscular weakness and are unable to voluntarily contract certain ankle and hip muscles at the appropriate walking phase. FES can help in this situation.

# Vagus nerve stimulation and transcutaneous vagus nerve stimulation

The vagus is the tenth cranial nerve. It arises from the medulla and carries both afferent and efferent fibers. The afferent vagal fibers connect to the nucleus of the solitary tract that, in turn, projects connections to other locations in the CNS. Vagus Nerve Stimulation (VNS) delivers electrical pulses to the vagus nerve. Proposed mechanisms include an anti-inflammatory effect as well as changes in monoamines. The device, a generator the size of a matchbox, is implanted under the skin below the collarbone. Lead wires from the generator are tunneled-up to the patient's neck and wrapped around the left vagus nerve at the carotid sheath, where it delivers electrical impulses. The left vagus nerve is stimulated rather than the right because the right plays a role in cardiac function such that stimulating it and could have negative cardiac effects. Figure 5 illustrates the medical stimulation of the vagus nerve.



Source: Scientific animations.

Figure 5: Pictorial illustrating the vagus nerve stimulation.

# Common applications include

#### **Epilepsy**

It is an add-on treatment to treat drug-resistant epilepsy (specifically focal epilepsy) and treatment-refractory Major Depressive Disorder (MDD). In epilepsy, adverse events include moderate-to-severe increase in seizures, cardiac arrest, bradycardia, voice-alteration and hoarseness, trouble talking, coughing, shortness of breath, pain, a tingling sensation, nausea, headache, difficulty swallowing, and sleepiness. The efficacy and side effects of the procedure in MDD are less clear.

# Major depressive disorder

VNS is an add-on for treatment-refractory major depressive disorder

# Other applications

Because the vagus nerve is associated with many different

functions and brain regions, clinical research has been done to determine its usefulness in treating other illnesses, including various anxiety disorders, obesity, alcohol addiction, chronic heart failure, prevention of arrhythmias that can cause sudden cardiac death, autoimmune disorders, several chronic pain conditions, and neurodevelopmental disorders including Landau-Kleffner syndrome, Rett syndrome, autism spectrum disorders, stroke, and depression.

As of 2015, Transcutaneous Vagus Nerve Stimulation (TVNS) devices were being developed for electrical transmission through the skin for the treatment of migraines and fibromyalgia based on the rationale that there is vagus nerve distribution on the ear's surface. Electrical impulses are targeted at either the auricle of the auricular branch of the vagus nerve or the cervical vagus nerve. Table 1 provides a comparison of transcranial electrical stimulation techniques in terms of their indications and contraindications, and advantages and adverse effects.

 Table 1: Comparison of transcranial electrical stimulation techniques.

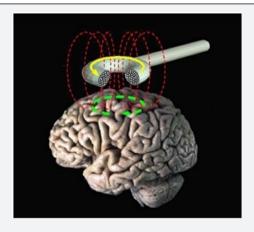
Modality	Types	Effects	Indications	Contra-indications	Adverse effects	Benefits
TDCS Tran- scranial Electrical Stimula- tion (DC)	Anodal Cathodal Sham	Increase/decrease excitability. Alteration in brain function.	Brain injuries. Psychiatric conditions (depression, MDD)	Susceptibility to seizures.	SHORT-TERM: Dizziness, Headache, Itching, Nausea, Phosphene, Skin irritation. LONG-TERM: Unknown.	Can last after end of stimulation. Amelioration of memory deficit in AD, PD, Neuropathic pain after SCI, Non-neuropathic pain, Schizophrenia.
TACS Tran- scranial Electrical Stimula- tion (AC)	Anodal. Cathodal. Sham.	Induce changes in neurohormones & neurotransmitters implicated in psy- chiatric disorders.	Anxiety. Degenerative joint pain. Depression, Fibromyalgia. Headache. Insomnia. Neuromuscular pain. Opiate withdrawal. Smoking cessation.	Similar to TDCS.	Similar to TDCS	Similar to TDCS.
ECT/EST Elec- tro-con- vulsive / Electro- shock Therapy	Unilateral electrode placement. Treatment frequency. Waveform.	Seizure induction. SHORT-TERM: Anti-convulsant. LONG-TERM; Neurotrophic (stimulates both motor and sensory nerves).	Depressive delusions. Hallucinations. Life-threatening physical exhaustion. Marked psychometric retardation. Prolonged or severe mania. Psychiatric conditions. Stupor. Treatment-resistant catatonia. Treatment-resistant MDD (unipolar, bipolar).	Anesthesia (at high-risk of receiving it). Aneurysms. Cardiovascular problems (unstable, severe). Catatonia. Intracranial pressure (increased, e.g. solid brain tumor). MDD (depression in settings of AD, MS, PD, Huntington's chorea, brain AV malformations (hydrocephalus). Mania. Pulmonary conditions (severe). Stroke (recent). Schizophrenia.	Asystole (persistent). Blood flow lack. Cognitive impairment. Confusion. Memory loss (transient). Heart arrhythmia. Heart failure (exceedingly rare). Muscle soreness. Physical risk similar to anesthesia. Oxygen flow lacks. Retrograde amnesia (incomplete recovery). Death rate ~ 4/100,000.	Cerebral palsy (unilateral, spastic). Drop foot stimulation. Hemiparetic stroke. MS. Relief from mental disorders. Effective in 50% of patients (50% of whom relapse after ~ 12 months). Restoration of limb functions: grasping, walking, bladder voiding, standing. Obviates the need for permanent prosthesis.
FES/NES Function- al/ Neuro- muscular Electrical Stimula- tion	Surface electrodes delivering low-ener- gy pulses.	Generates body contractions in otherwise para- lyzed limbs. Stimulates neurons, nerves, and denervated muscles.	Paralysis due to injury. SCI.	Stroke recovery. Upper limb recovery.	Infection.	Organ function regulation. Pain (shoulder, subluxation). Pressure and sore prevention.
VNS Vagus Nerve Stimula- tion	Left vagus nerve stimula- tion.	Monoamine changes.	Epilepsy (drug-resistant).  MDD (treatment-refractory).  OTHERS: Alcohol addiction, Anxiety. Arrhythmias. Autoimmune disorders. CHF. Depression. Neurodevelopmental disorders (Landau-Kleffner syndrome, Rett syndrome, and autism spectrum disorders). Obesity. Pain. Stroke.		FOR EPILEPSY: Bradykinesia. Breath shortness. Cardiac arrest. Coughing. Headache. Hoarseness. Nausea. Pain. Seizures increase. Sleepiness. Swallowing (Insufficient). Talking trouble. Tingling sensation. Voice alteration.	FOR MDD: Add-on treatment. FOR TRANS-CUTANE- OUS VNS: Fibromyalgia. Migraines.

# Magnetic Stimulations and their Therapeutic Applications

# **Transcranial magnetic stimulation**

Transcranial Magnetic Stimulation (TMS) is a noninvasive means of imparting electrical energy across the head's insulating tissues and into the brain. An electrical current is passed through a coil of wire placed above the region of interest on the scalp. Through electromagnetic induction, the current generates rapidly

changing magnetic fields that induce small electrical currents in specific areas of the brain. Oriented perpendicular to the plane of the coil, the magnetic field passes virtually unimpeded through the scalp and skull. In the brain, it produces currents in the induced electrical field lying parallel to the plane of the coil. These currents can excite neural processes lying in the plane of the induced field in a manner roughly analogous to direct cortical stimulation with electrodes (Figure 6 &7).



Source: Eric Wassermann.

Figure 6: Illustrating transcranial magnetic stimulation.



Source: James Hellman.

Figure 7: An old fracture with nonunion of the fracture fragments.

Two TMS types (single pulse, repetitive pulse) are used in research therapy. Effects lasting longer than the stimulation period are only observed in repetitive TMS (rTMS). Like for TDCS, an increase or decrease in neuronal activity can be achieved, but the induction method is very different. In TDCS, the two different directions of current cause the different effects. By contrast, in rTMS, increased/decreased neuronal activity is induced by using a higher/lower frequency.

An electric pulse generator, or stimulator, is connected to a magnetic coil that is connected to the scalp. It leads to a cascade of effects: generation of a changing electric current within the coil,

induction of a magnetic field, production of a second inductance of inverted electrical charge within the brain that activates nearby nerve cells, and further production of a localized electrical current which can then either depolarize or hyperpolarize neurons at that site. Effects vary based on the frequency, intensity, and length of the magnetic pulse. While similar to Magnetic Resonance Imaging (MRI), the pulse here generally penetrates no deeper than 5 centimeters unless a modified coil technique is used to reach deeper stimulation. Deep TMS can reach up to 6 cm into the brain to stimulate deeper layers of the motor cortex to control leg motion. TMS can be a physiological probe of cortical function for clinical and basic neurophysiology.

# Common applications include

Diagnostic and therapeutic potential in a wide variety of disease states in neurology and mental health. Adverse effects are rare and more pronounced for rTMS than for single-pulse TMS and include fainting (uncommon) and seizures (extremely rare), short-term discomfort, pain, brief episodes of hypomania, cognitive change, hearing loss, impaired working memory, and inadvertent current induction in implanted devices such as pacemakers or defibrillators.

# Diagnostic applications include

Measurement of the activity and function of specific brain circuits in humans.

Measurement of the connection between the primary cortex of the CNS and the peripheral nervous system (PNS): To evaluate damage related to past or progressive neurologic insult.

- a. Neurologic conditions (potentially):
- b. Alzheimer's disease (AD),
- c. Amyotrophic lateral sclerosis (ALS),
- d. Persistent vegetative state,
- e. Epilepsy,
- f. Stroke-related disability,
- g. Tinnitus,
- h. Multiple sclerosis (MS),
- i. Schizophrenia, and
- j. Traumatic brain injury.
- k. Parkinson's disease (PD): low-frequency stimulation may affect medication-associated dyskinesia whereas highfrequency stimulation may improve motor function.
- l. Motor cortex:
- m. Dominant side (tentative benefits),
- n. Dorsolateral prefrontal cortex (variable results).
- Motor symptoms. Less effective than electroconvulsive therapy though both appear to have utility.
- Cerebellar stimulation: Potentially for levodopa-associated dyskinesia.

- q. In psychiatry:
- r. Anxiety disorders (panic disorder),
- s. Obsessive-Compulsive Disorder (OCD): Most promising for the orbitofrontal cortex and the supplementary motor area,
- t. Autism.
- u. Substance abuse,
- v. Addiction,
- w. Post-Traumatic Stress Disorder (PTSD),
- x. Resistant major depressive disorder,
- Mapping the functional connectivity between the cerebellum and other areas of the brain.

# Magnetic seizure therapy

Magnetic Seizure Therapy (MST) is under current investigation. It works by inducing seizures via magnetic fields.

# Purported applications include:

- a. Treatment-Resistant Depression (TRD).
- b. Schizophrenia.
- c. Obsessive-Compulsive Disorder (OCD).

# Pulsed (electromagnetic) field therapy

Pulsed electromagnetic field therapy (pEMFT), also known as low-field magnetic stimulation (lfMS), and tumor treating fields (TTF) uses electromagnetic fields. Several devices have been approved by the FDA.

## Common applications include

- Delayed and non-union fractures: The evidence of benefit is insufficient.
- b. Depression.
- c. Glioblastomas (brain tumors).
- d. Knee osteoarthritis: Possible benefit for improved function but there is no evidence for pain alleviation.
- e. Postoperative Pain.

Table 2 provides a comparison of transcranial magnetic stimulation techniques.

Table 2: Comparison of transcranial magnetic stimulation techniques.

Modality	Types	Effects	Indications	Con- tra-in- dica- tions	Adverse effects	Benefits
TMS/rTMS Single-pulse / repetitive Transcranial Magnetic Stim- ulation	Single pulse. Multiple pulses. Reaches shallow depths (<6 cm). Modified coils reach deeper.	Increase/ decrease of neuronal activity depend- ing on higher /lower frequen- cy.	Diagnostic neurology. Progressive neurologic insult. Psychiatry. Connections of erebellum to other brain areas. NEUROLOGY: Activity/function of specific brain circuits. Connection CNS primary cortex and PNS. AD. ALS. Epilepsy. MS. PD. Persistent vegetative state, Schizophrenia. Speech-related disability. Traumatic brain injury. Tinnitus. PSYCHIATRY: Addiction. Anxiety panic. Autism. PTSD. Substance abuse. OCD. Resistant major depressive disorder.		SHORT-TERM: Current induction in implanted devices (pacemakers) or defibrillators. Cognitive changes. Discomfort. Fainting (uncommon). Hypomania. Seizures (extremely rare). Working memory (impaired).	Diagnostic & therapeutic potential in Neurologyand Psychiatry.
MST Magnetic Sei- zure Therapy	Induces seizures		Treatment-resistant depression. OCD. Schizophrenia.			
PEMFT/ IfMS/ TTF Pulsed EM- Field Therapy/ Low-Field Magnetic Stim- ulation/ Tumor Treating Fields	Electromagnet- ic & low fields		Delayed & non-union fractures. Depression. Glioblastomas. Knee osteoarthritis. Pain (post-operative).			

# **Summary and Conclusion**

The several known electrical and magnetic brain stimulations offer diagnostic and therapeutic features as well as research possibilities. This property has been used for diagnostic and therapeutic neurosurgical procedures. Electromagnetic stimulations have been separated into electrical (both direct and alternating currents) and magnetic stimulations. The former include transcranial electrical stimulation (with either direct or alternating current), electroconvulsive (or electroshock) therapy that remains controversial, functional (or neuromuscular) electrical stimulation for paralysis due to injury, vagus nerve stimulation and its transcutaneous variation. The latter include transcranial magnetic stimulation, magnetic seizure therapy, and pulsed electromagnetic field therapy (or low-field magnetic stimulation, or tumor treating fields). These several

modalities were compared in their types, effects, indications, contraindications, adverse events, and benefits. Depending on the patient's condition, the comparative Tables provided should guide the appropriate selection of procedure.

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